

A pilot in-silico modeling-based study of the pathological effects on the biomechanical function of tricuspid valves

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Running Head: *In-silico investigation of diseased tricuspid valves*

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Abstract

Current clinical assessment of functional tricuspid valve regurgitation relies on metrics quantified from medical imaging modalities. Although these clinical methodologies are generally successful, the lack of detailed information about the mechanical environment of the valve presents inherent challenges for assessing tricuspid valve regurgitation. In the present study, we have developed a finite element-based *in-silico* model of one porcine tricuspid valve (TV) geometry to investigate how various pathological conditions affect the overall biomechanical function of the TV. There were three primary observations from our results. Firstly, the results of the papillary muscle displacement study scenario indicated more pronounced changes in the TV biomechanical function. Secondly, compared to uniform annulus dilation, non-uniform dilation scenario induced more evident changes in the von Mises stresses (83.8-125.3 kPa vs. 65.1-84.0 kPa) and the Green-Lagrange strains (0.52-0.58 vs. 0.47-0.53) for the three TV leaflets. Finally, results from the pulmonary hypertension study scenario showed opposite trends compared to the papillary muscle displacement and annulus dilation scenarios. Furthermore, various chordae rupture scenarios were simulated, and the results showed that the chordae tendineae attached to the TV anterior and septal leaflets may be more critical to proper TV function. This *in-silico* modeling-based study has provided a deeper insight into the tricuspid valve pathologies that may be useful, with moderate extensions, for guiding clinical decisions.

Keywords: functional tricuspid regurgitation, chordae tendineae, tenting area, tenting height, coaptation height, finite element simulations

1. Introduction

The tricuspid valve (TV) is located in between the right atrium and the right ventricle of the heart. On the organ level, the TV is composed of four sub-valvular components: the three TV leaflets—the anterior leaflet (TVAL), the posterior leaflet (TVPL), and the septal leaflet (TVSL), the ring-like TV annulus, the chordae tendineae, and the papillary muscles (PMs) located on the right ventricular wall. Anatomically, the TV annulus acts as a transition from the right atrium to the TV leaflets, whereas the chordae tendineae insert near the free edge of the leaflets to make a connection to the papillary muscles (**Fig. 1a**). The combined function of these sub-valvular components facilitates unidirectional blood flow within the right side of the heart. During *diastole*, the TV leaflets are relaxed and allow deoxygenated blood flow from the right atrium into the right ventricle; during *systole*, the pressure gradient developed in the right ventricle causes the TV leaflets to coapt, preventing blood backflow to the right atrium. Alterations in the anatomy or function of these sub-valvular components can result in functional tricuspid regurgitation.

Functional tricuspid regurgitation (FTR) stems from a combination of three interlinked pathologies that arise from a pressure or volume overload in the right ventricle [1-3]. These types of overload will lead to the enlargement of the right ventricle beyond its physiological configuration [1,3]. As a consequence, the TV annulus dilates primarily away from the septum, and deforms from an elliptical, saddle-shaped configuration (*healthy*) to a circular, flattened configuration (*diseased*) [4,5]. These changes in the right ventricle-TV anatomy will progress and eventually lead to PM displacement, reduced coaptation of the TV leaflets, and the formation of FTR [1,2,4-6]. It has long been expected that FTR would naturally regress after the correction of left-sided cardiac lesions [7]. However, recent clinical studies have demonstrated that this practice may be invalid, and that untreated FTR will often progress and worsen the long-term prognosis [8,9]. Thus, in the last decade, FTR has gained more research attention, but much remains unknown about therapeutic options, the optimal timing for such treatments, and the suitable strategies to mitigate TR recurrence after surgical intervention. Clinical and experimental studies [2,10], such as investigations of the FTR progression and determination of relevant quantitative metrics based on patient's image data, have aimed to address some of these limitations. Despite these recent research efforts, the underlying mechanical environment of the TV, which is a critical understanding for restoring leaflet tissue homeostasis, has not yet been comprehensively investigated. On the other hand, in-silico modeling

allows an alternative research avenue for understanding FTR that provides predictive information about the mechanical environment and the closed 3D geometry of the TV on a *patient-specific* basis. Nevertheless, the application of *in-silico* modeling to the tricuspid valve, especially considering the diseased scenarios such as FTR, has remained limited.

To date, there are four prominent computational modeling studies for the tricuspid valve: Stevanella *et al.* (2010) [11] aimed to establish an image-based TV modeling framework; Kamensky *et al.* (2018) [12] presented an isogeometric analysis model for simulating TV chordae rupture; Kong *et al.* (2018) [13] developed a patient-specific TV finite element (FE) model using three patients' medical imaging data; and recently Singh-Gryzbon *et al.* (2019) [14] utilized fluid-structure interaction simulations to study the healthy and regurgitant TVs. Interested readers are referred to recent reviews [15-17] discussing these studies. These computational studies have laid the groundwork for TV biomechanical modeling, but an extensive investigation of how FTR pathologies alter the biomechanical function of the tricuspid valve has yet been conducted. Although the FTR pathologies appear to be clinically understood, accessible quantitative information about how different pathologies influence valvular function could shed new light on individualized assessment and treatment of FTR.

Thus, one objective of this work is to examine *via in-silico modeling* how FTR pathologies modify the TV biomechanical function. This is accomplished through developing a FE modeling framework to simulate healthy and pathological TVs. Five pathological scenarios are simulated, key engineering mechanics and clinically-relevant geometry metrics are determined, and numerical predictions are evaluated to connect TV disease with both the tissue-level biomechanics and the organ-level function.

2. Materials and Methods

2.1 Finite Element Model

ABAQUS (Dassault Systèmes Simulia Corp., Johnson, RI) software was used to perform FE simulations of the tricuspid valve, considering healthy and pathological conditions. Specific details of the TV geometry, FE mesh, material model, and boundary/loading conditions are provided in the subsequent subsections.

2.1.1 Model Geometry and FE Mesh

The geometry and mesh used to represent the TV were considered in two parts: the leaflets and the chordae tendineae. The geometry of the TV leaflets was generated using a similar procedure to that in our previous study within an isogeometric analysis framework [12]. The primary difference is the creation of the TV FE mesh from the CAD geometry. In brief, the TV leaflets were initially represented by cubic B-splines surfaces and the parameters describing the three leaflet heights (LHs) and three commissure heights (CHs) were taken from one representative porcine heart (**Fig. 1b**): $LH_{Septal}=13$ mm, $LH_{Anterior}=14$ mm, $LH_{Posterior}=14$ mm, $CH_{P-S}=9$ mm, $CH_{A-S}=9$ mm, and $CH_{A-P}=9$ mm. The shape of the TV annulus was extracted from micro-computed tomography data for a porcine TV fixed in the closed position. The annulus shape and the parametric representation for the TV leaflets were combined to create the final TV leaflet geometry, which was then discretized into 8,850 four-node shell elements (S4) using ABAQUS/CAE (**Fig. 1b**). The thickness for each element was taken from Jett *et al.* (2018) [18]. Specifically, the TVAL was 0.52 mm, the TVPL was 0.46 mm, and the TVSL was 0.37 mm. The idealized representation of the chordae tendineae was used, including 11 groups originating from three PM tips. The TV chordae tendineae were discretized using 3D truss elements (T3D2) with a cross-sectional area of 0.17 mm². The FE meshes for the TV leaflets and chordae tendineae were combined using ABAQUS/CAE, ensuring that both meshes shared the same node where the chordae inserted into the TV leaflet surface (**Fig. 1b**). In addition to the saddle-shaped annulus configuration, a flattened TV annulus was also considered for all the FE study scenarios (**Fig. 2d**).

2.1.2 Material Model

To describe the mechanical behaviors of the TV leaflets, we adopted the strain energy density function from Demiray [19] with an additional neo-Hookean term for the contribution of the non-fibrous extracellular matrix

$$W = \frac{c_0}{2}(I_1 - 3) + \frac{c_1}{2} \left\{ \exp \left[c_2 (I_1 - 3)^2 \right] - 1 \right\} - \frac{p}{2}(J - 1), \quad (1)$$

where c_0 , c_1 , and c_2 are the material parameters, I_1 is the first invariant of the right Cauchy-Green tensor $\mathbf{C}=\mathbf{F}^T\mathbf{F}$, \mathbf{F} is the deformation gradient tensor, and p is the penalty parameter to enforce the incompressibility condition, i.e., $J=\det\mathbf{F}=1$. The material constants $c_0=10$ kPa, $c_1=0.209$ kPa, and $c_2=9.046$ were adopted from the literature [11,12], and time-dependent effects were not considered in the present

numerical study. On the other hand, the chordae tendineae were modeled as a linearly-elastic, isotropic solid with an elastic modulus of 40 MPa and a Poisson's ratio of 0.30 [12]. The material models were implemented into the ABAQUS VUMAT subroutine (see more details about the user subroutine verification in **Appendix A**).

2.1.3 Boundary and Loading Conditions

Three simplifications were made for the applied boundary and loading conditions: the TV annulus nodes were fixed, the PM tips were pinned, and a smooth-stepped transvalvular pressure gradient of 25 mmHg was applied to the ventricular side of the TV leaflets over a simulation time of 0.4 second. The ABAQUS general contact algorithm was used with a contact penalty stiffness of 0.5 to model the contact between any two TV leaflets during the systolic closure. This subsection describes the boundary and loading conditions that were applied to simulate the TV systolic closure, whereas **Section 2.2** outlines additional simulation steps required to modify the TV geometry for each considered pathological state.

2.2 Numerical Study Scenarios Considering Pathological Conditions

Five pathological study scenarios were simulated: *pulmonary hypertension (PH)*, *uniform annulus dilation (UAD)*, *non-uniform annulus dilation (NAD)*, *PM displacement*, and *chordae rupture* (**Fig. 2a-c**).

2.2.1 Pulmonary Hypertension

Pulmonary hypertension is defined as an increase in the mean pulmonary arterial pressure above 25 mmHg [20]. To simulate this pathology scenario, the loading condition was adjusted to a 45 mmHg transvalvular pressure gradient (i.e., *moderate-to-severe* pulmonary hypertension [21]) and the boundary conditions described in **Section 2.1.3** were kept the same.

2.2.2 Annulus Dilation

Two scenarios were considered for TV annulus dilation (**Fig. 2a**): *non-uniform dilation*, where the annulus primarily dilates away from the septum, and *uniform dilation*, where the annulus dilates uniformly in all directions.

The corresponding nodal displacements associated with the uniform annulus dilation (UAD) were determined by

$$\begin{Bmatrix} u_i \\ v_i \\ w_i \end{Bmatrix} = \bar{\alpha} \begin{Bmatrix} (x_i - x_c) \\ (y_i - y_c) \\ (z_i - z_c) \end{Bmatrix}, \quad (2)$$

and non-uniform annulus dilation (NAD) were determined by

$$\begin{Bmatrix} u_i \\ v_i \\ w_i \end{Bmatrix} = \begin{Bmatrix} \alpha_x x_i \cos \theta_i \\ \alpha_y y_i \sin \theta_i \\ 0 \end{Bmatrix}. \quad (3)$$

Herein, x_i , y_i , and z_i denote the x -, y -, and z -coordinates of node i , the resulting u_i , v_i , and w_i denote the displacements of node i , the subscript c denotes the center of geometry of the TV annulus, θ_i is the angle between the x -axis and the line connecting the origin to node i , α_x and α_y are the scaling factors in the x - and y -directions, respectively, for the non-uniform dilation, and $\bar{\alpha}$ is the scaling factor for the uniform dilation. For the non-uniform dilation, the nodes located in the negative y -direction were fixed in the y -direction, in accordance with clinical observations [4,5], but those annulus nodes were still allowed to displace in the x -direction.

Numerical values for α_x , α_y , and $\bar{\alpha}$ are given in **Table 1**, and **Appendix B** describes the algorithmic procedure of determining α_x and α_y . The annulus dilation with the prescribed nodal displacements was first simulated prior to the simulations of the valvular closure.

2.2.3 Papillary Muscle (PM) Displacement

Spinner *et al.* (2011) [22] quantified different anatomical dimensions of the right ventricle and the TV using 3D echocardiography for healthy and diseased (tricuspid regurgitation) patients. They found that the PMs mostly displace *laterally* away from the septum and *apically* towards the right ventricle's apex for patients with tricuspid regurgitation. Thus, we considered three sub-scenarios for the PM displacement study case: *longitudinal displacement only*, *apical displacement only*, and *combined apical and longitudinal PM displacements* (**Fig. 2b** and **Table 1**).

2.2.4 Chordae Tendineae (CT) Rupture

Clinical studies [23-25] have observed that incidental rupture of the chordae tendineae may allow unrestricted motion of the TV leaflet free edge into the right atrium (i.e., tricuspid leaflet flail – a sub-

category of TV prolapse) and result in TR. Two scenarios of chordae rupture were considered: (i) rupture of individual chordae groups (11 total simulation studies), and (ii) rupture of any two combined chordae groups (55 total simulation studies). The chordae rupture was achieved by removing the elements associated with a given chordae group (**Fig. 2c**), while the loading and boundary conditions described in **Section 2.1.3** were kept the same.

2.3 Analyses of the FE Results

2.3.1 Engineering Mechanics Metrics

Engineering mechanics metrics, such as the von Mises stresses and the Green-Lagrange strains, were extracted from each FE simulation and averaged over each of the three TV leaflets at three time points of the simulation, *simulated pathology condition*, *leaflet coaptation*, and *TV closure at the applied transvalvular pressure* (**Fig. 3a**). Those engineering mechanics-related results were calculated for the central region of the three TV leaflets as shown in **Fig. 3b**, and the resultant reaction force acting on each papillary muscle tip was determined from the FE simulations.

These engineering mechanics metrics for the healthy scenario were considered as the baseline throughout the comparative studies. Furthermore, the *effect(s)* of each pathological scenario were determined by calculating the percentage change in the average mechanics metrics with respect to the baseline (healthy) values. These relative percentage values are presented as a range for the three TV leaflets or the three papillary muscle tips to provide a concise comparison of different study scenarios. Moreover, the von Mises stresses and Green-Lagrange strains were also averaged with respect to *six smaller regions* of the TV anterior leaflet (**Fig. 3c**) for comparison with our recent *in vitro* experimental investigation of the regional variations in the mechanical properties of the TV anterior leaflet [26].

2.3.2 Clinically-Relevant Geometry Metrics

Clinical and *in vitro* studies often determine three quantities from 2D imaging data to assess tricuspid regurgitation (**Fig. 3d**), which include the tenting area, tenting height, and coaptation height (or coaptation area). These quantities were determined for each simulation scenario by first importing the closed TV leaflet geometry into ParaView (Kitware Inc., Clifton Park, NY) and next creating slices through the geometry to obtain 2D representations of the closed TV geometry (**Fig. 3e**). The 2D contours were then

analyzed with an in-house MATLAB program (MathWorks, Natick, MA) to compute these clinically-relevant geometry values. Specifically, nine cut views were considered for each simulation that included three evenly-spaced slices (**Fig. 3e**). The geometry metrics were calculated for all nine cut views, and the results for the healthy scenario were considered as the baseline throughout the comparative studies. The *effect(s)* of each simulated pathological scenario were determined by calculating the percentage change of each geometry metric with respect to the baseline (healthy) values. To provide a concise comparison, the effect(s) associated with each study scenario are presented using the range of percentage differences.

3. Results

3.1 Simulation of Systolic Closure of the Healthy TV

The maximum and minimum principal Green-Lagrange strains are plotted on the undeformed TV leaflet geometries with their corresponding principal directions (**Fig. 4a-b**). The mean \pm SD of the maximum principal Green-Lagrange strain for each TV leaflet was: 0.31 \pm 0.14 (TV_{VAL}), 0.38 \pm 0.15 (TV_{PL}), and 0.39 \pm 0.13 (TV_{SL}). Further comparisons with other *in vitro* mechanical characterization studies are provided in **Section 4.2**. The von Mises stress, the maximum principal Green-Lagrange strain, and the minimum principal Green-Lagrange strain associated with the closed geometry of the healthy TV are shown in **Figure 5**.

3.2 Comparisons Between Healthy and Pathological Scenarios

3.2.1 Engineering Mechanics Metrics

There were four primary observations from the predicted von Mises stress (**Fig. 6a**), maximum and minimum principal Green-Lagrange strains (**Fig. 6b-c**), and the papillary muscle tip reaction forces (**Fig. 6d**). First, the PM displacement generally had a more pronounced effect on the engineering mechanics quantities for all three TV leaflets. Secondly, the non-uniform annulus dilation consistently led to more apparent increases in the mechanics metrics when compared to the uniform annulus dilation, with the differences approaching 60%. Third, the pulmonary hypertension study scenario had a less perceptible effect on the engineering mechanics metrics compared to the other pathological study scenarios (25% of the PM displacement scenario), except the septal leaflet. Finally, the minimum principal Green-Lagrange strain did not have consistent trends with many of the study scenarios, i.e., a larger magnitude at the

diseased state or coaptation compared to the final closed configuration. Detailed quantitative values are summarized in **Table 2**. Similar trends were also observed for the studies associated with a *flattened* TV annulus (**Fig. S1** and **Table S1**).

3.2.2 Clinically-Relevant Geometry Metrics

The geometry metrics for all the study scenarios were different depending on the two leaflets under investigation and were different based on the cut view selected for the analysis (**Fig. 7**), with the anterior-posterior cut view (APCV) being consistently larger across each metric. Additionally, the anterior-posterior cut view #3 (APCV-3), anterior-septal cut view #3 (ASCV-3), and posterior-septal cut view #3 (PSCV-3) typically had a larger tenting area (12.5-94.9 mm² vs. 6.0-86.7 mm²), a larger tenting height (3.1-9.5 mm vs. 2.4-12.8 mm), and a larger coaptation height (7.0-12.2 mm vs. 5.6-30.1 mm).

The changes in the geometry metrics of APCV-3, ASCV-3, and PSCV-3 for all pathological scenarios, except pulmonary hypertension, agreed with the clinical observations for the formation or worsening of TR (**Table 3**)—an *increased* tenting area (+174% to +1129%) and an *increased* tenting height (+58% to +450%) together with a *decreased* coaptation height (-10.6% to -67%). In contrast, the pulmonary hypertension study scenario resulted in opposite trends with decreases in the tenting area (-17.8% to -50.4%) and tenting height (-15.4% to -26.3%) accompanied with an increase in the coaptation height (+0.6% to +13%). Similar trends were also observed for the other cut views (**Fig. 7** and **Table 3**) and the flattened TV annulus (**Fig. S2** and **Table S2**). These results suggest that annulus dilation and PM displacement may be the main contributors to TV regurgitation, whereas pulmonary hypertension may be a key driver for right ventricle enlargement that could later cause tricuspid regurgitation.

3.3 Papillary Muscle Displacement Scenarios

The FE results for different types of PM displacement (*apical*, *longitudinal*, and *combined*, see **Fig. 2b**) showed the formation of a regurgitant orifice for the apical and combined PM displacement scenarios at the clinically-observed diseased state (**Fig. 8**). In contrast, the regurgitant orifice was not observed in the longitudinal PM displacement simulation scenarios, which showed similar results to the healthy TV. This discrepancy in the two PM displacement types suggests that the apical displacement may be the more

critical contributor to the mechanical and geometrical changes that are induced by the papillary muscle displacement.

3.4 Chordae Rupture Scenarios

No scenarios associated with TV leaflet flail were observed in rupturing only one chordae group. By further considering the rupture of two chordae groups, we found five study scenarios resulting in TV leaflet flail (**Fig. 9a-b**). Two of those scenarios involved ruptured chordae groups attached to the TVAL, while another three involved ruptured chordae groups attached to the TVSL. The TVAL flail resulted in a decrease of the average von Mises stress of the TVPL (-2.9 to -22.5%) and an increase of the average von Mises stress of the TVSL (+60.4 to +113.8%). In contrast, the TVSL flail resulted in an increase of the average von Mises stresses of both the TVPL (+93.3 to +124.4%) and the TVSL (+102 to +104.6%). The results from this study scenario provide preliminary insight into which chordae tendineae group may have a more crucial contribution to preventing TV leaflet flail.

4. Discussion

4.1 Overall Findings

In this study, *for the first time* FE simulations were performed to study various pathological conditions of the tricuspid heart valve and to quantify pathology-induced changes to the mechanical environment and the closed valve geometry. Our results indicated that PM displacement has a more discernable effect on the engineering mechanics (+24 to +834%) and geometry metrics (-42 to 1129%) compared to TV annulus dilation (+19 to +309% and -67 to 836%) or pulmonary hypertension (+12 to +103% and -50 to 13%). This observation may have important implications for annuloplasty surgical repair that primarily aims to restrict the annulus size and restore leaflet coaptation. Alternatively, individualized surgical options could be developed to lessen the effects of leaflet tethering on the TV closing behavior [27].

Comparisons between the uniform and non-uniform annulus dilation simulation results revealed that the non-uniform dilation configuration provides a less-favorable mechanical and geometrical environment. The non-uniform dilation scenario consistently led to more observable changes in the TV leaflet stresses (+100 to 309% vs. +100 to 163%), leaflet strains (+19 to +74% vs. +20 to +43%), PM forces (+175 to +260% vs. +121 to +163%), tenting areas (+71 to +1611% vs. +6 to +696%), and tenting

heights (-30 to +304% vs. -57 to +175%). These observations are in accordance with the clinical understanding that the non-uniform annulus dilation occurs on the septo-lateral plane due to restrictions imposed by the heart septum [4,5] and underscores the need for individualized surgical strategies.

In the chordae rupture study scenarios, five of the 55 simulations rupturing two chordae groups showed TV leaflet flail, which involved only the chordae groups attached to the TV anterior or septal leaflet. Interestingly, the chordae groups for each of the five simulations were neighboring chordae groups (e.g., CT-3 and CT-4 or CT-8 and CT-9, **Fig. 9**). These findings have clinically-relevant implications that the chordae groups attached to the anterior or septal leaflets may be more critical to ensure proper leaflet closure, and that the existing repair techniques, such as chordae replacement [28], could be refined according to the guidance provided by the *in-silico* modeling.

4.2 Comparisons with Existing Literature

4.2.1 Engineering Mechanics Metrics

Firstly, our simulation results of the healthy TV were compared to the existing computational modeling studies. The von Mises stresses averaged over the central region (belly) of the TV leaflets from our study (TVAL: 24.7 ± 7.9 kPa, TVPL: 30.6 ± 10.9 kPa, TVSL: 41.9 ± 8.6 kPa) were larger than those documented by Singh-Gryzbon *et al.* (2019) [14] (12.5 ± 6.3 kPa) but more aligned with Stevanella *et al.* (2010) [11] (25-100 kPa) and Kong *et al.* (2018) [13] (TVAL: 37-80 kPa, TVPL: 25-91 kPa, TVSL: 24-63 kPa). Differences in the predicted stresses may be due to the different material models selected and different material properties used in the current work and other numerical studies.

Secondly, the maximum principal Green-Lagrange strains averaged over the central region of the TV leaflets from our simulations (TVAL: 0.33 ± 0.07 , TVPL: 0.41 ± 0.06 , TVSL: 0.44 ± 0.03) were slightly lower than what was found in Stevanella *et al.* (2010) [11]: ~ 0.52 but larger than those reported in Kong *et al.* (2018) [13] (TVAL: 0.19-0.26, TVPL: 0.07-0.17, TVSL: 0.11-0.21) and Singh-Gryzbon *et al.* (2019) [14]: 0.17. Moreover, when simulating a regurgitant TV, Singh-Gryzbon *et al.* (2019) [14] obtained a smaller increase in the predicted stresses (+7.5% vs. +372%) and in the predicted strains (+12% vs. +72%) of the leaflet's central region as compared to the present study. The difference may be due to the choice of the diseased configuration for the reference configuration in their simulations, which may inadequately

account for the effects of leaflet tethering. In contrast, choosing the healthy state as the reference configuration in our FE simulations revealed that the majority of the PM reaction forces initiated and developed at the simulated pathological scenario (blue dotted line in **Figure 6d**).

Thirdly, the maximum principal Green-Lagrange strains were compared with the existing *ex vivo* and *in vivo* TV studies in the literature. The peak Green-Lagrange strain for the TVSL in this study (0.44 ± 0.03) was larger than the porcine *ex vivo* results (0.11) from Khoiy *et al.* [29]. However, our *in-silico* results for the TVAL (0.33 ± 0.07), TVPL (0.41 ± 0.06), and the TVSL (0.44 ± 0.03) were within the range of ovine *in vivo* hearts (TVAL: 0.28-1.19, TVPL: 0.16-1.06, TVSL: 0.26-0.40) investigated by Mathur *et al.* [30]. Several factors may contribute to the differences and similarities within these studies. For example, our preliminary data showed large anatomical variations in the key model parameters (e.g., the leaflet heights and the commissure heights) for porcine hearts. These variations will likely affect the simulation results, and the inter-species variations (e.g., porcine vs. ovine) should also be considered. Moreover, the inherent differences between the *ex vivo* and *in vivo* studies, such as the active annulus contraction and ventricle-regulated transvalvular pressure, may also influence the comparisons. Our ongoing extensions of the model include incorporating the experimental data from Khoiy *et al.* [29] to validate our *in-silico* model and strengthen the comparisons with the existing literature.

Fourthly, the experimental data from previous *in vitro* mechanical characterizations were evaluated at an equibiaxial stress corresponding to the average von Mises stress of 29.9 kPa for the TV anterior leaflet, as found in this study (**Table 4**). The maximum principal stretches (approximately in the radial direction) are most similar to the radial stretches observed by Ross *et al.* (2019) [31]. The discrepancies in the circumferential stretches between the present simulation-based study and the existing *in vitro* mechanical characterizations may be due to the lack of including pre-strains in our *in-silico* model. Therefore, the stress-free reference condition may be most close to the *in vitro* “mounted” configuration prior to experimental preconditioning. This underscores the necessity for a better understanding of the true stress-free reference configuration for constitutive modeling and TV computational modeling [32].

Finally, the regional deformations from the FE simulations are compared with our recent regional-based mechanical characterization study [26] (**Table 5**). One key observation from that experimental study

was that the TVAL central regions (B and E in **Fig. 3c**) had a larger anisotropy index (i.e., the ratio of the radial stretch to the circumferential stretch) than the edge regions (A, C, D and F in **Fig. 3c**), indicating the inhomogeneous distribution of the tissue deformation. The FE results of the regional deformations in the present study showed similar characteristics near the annulus, despite using an isotropic material model, suggesting that this regional heterogeneity be related to the TV closing behavior. However, the heterogenous distribution of the tissue deformation was not as pronounced near the leaflet free edge, which may be due to the leaflet contact.

4.2.2 Clinically-Relevant Geometry Metrics

The *in vitro* study by Casa *et al.* (2013) [33] compared how PM displacement and annulus dilation affected the TV closing behavior, and demonstrated that PM displacement resulted in larger changes in the tenting area. Specifically, they observed tenting area values of 10-60 mm², which are much lower than our simulation results (50-200 mm²). These differences could arise from the selection of valve geometry. [33] used an excised porcine valve, whereas we used a parametric representation of the TV based on previous literature. Moreover, [33] showed that the TV coaptation area (analogous to the coaptation height) decreased in both PM displacement and annulus dilation, which agreed with our FE results.

On the other hand, Kim *et al.* (2006) [10] suggested that a tenting area >100 mm² under an apical four-chamber view is associated with severe FTR. This observation is reflected in our FE study with the posterior-septal cut view – one possible combination for the apical four-chamber view [34,35] – showing tenting area values greater than 100 mm² for the annulus dilation and papillary muscle displacement scenarios. Furthermore, the annulus dilation and papillary muscle displacement scenarios in the present study exhibited tenting heights >10 mm for all posterior-septal cut views, which is in good agreement with the study by Sukmawan *et al.* (2007) [36] that reported tenting height values of 10.6±4.0 mm for patients with FTR (n=17). However, a recent 3D echocardiography study has demonstrated that it is more likely (81% vs 19%) to view the anterior and septal leaflets rather than the posterior and septal leaflets in the apical four-chamber view [35]. With this in mind, the ASCV results from the present FE study showed tenting areas <100 mm² and tenting heights <10 mm for the annulus dilation scenarios, suggesting no FTR. However, the finite element simulations (**Fig. 5**) showed clear organ-level regurgitation, implying that some patients with different heart geometries may be falsely diagnosed based on these general clinical

recommendations. Rather, patient-specific geometries and biomechanical function should be considered for providing accurate clinical recommendations.

4.3 Study Limitations and Future Extensions

There are several limitations to be addressed in future work. Firstly, the material models used in this study were simplified, and homogeneous representations of the respective structure's mechanical response were assumed, i.e., TV leaflets (isotropic) and chordae tendineae (linearly elastic). Although the material model for the TV leaflets captures some regional heterogeneities in the leaflet deformations that agree with our previous *in vitro* study [26], it is necessary to employ a material model that adequately captures the material anisotropy that is typically observed in the *in vitro* biaxial mechanical testing of TV leaflets [18,37-39] or the complex layered leaflet structure [40]. There are limited studies developing and investigating material models for the tricuspid valve [41]. Thus, an extensive study on this topic is warranted that explores existing material model's performance for the tricuspid valve, such as the phenomenological models [42-46] or the microstructurally informed models [47-49]. Furthermore, key aspects of the TV leaflet's microstructure could be incorporated to better understand the effects of FTR pathologies on the load-dependent relationship of tissue mechanics and collagen fiber architecture [50].

As for CT modeling, recent experimental mechanical characterizations of the mitral valve chordae tendineae have shown a nonlinear mechanical response [51,52], which is not accurately captured by the linearly elastic model used in this study. Our lab is currently quantifying the mechanical and structural properties of the TV chordae tendineae that will then be used with a material model, such as the Ogden model [53], to more accurately capture the sub-valvular component's nonlinear mechanical response.

Secondly, we assumed a uniform thickness for the thin-shell elements of the TV leaflets and the truss elements of the chordae tendineae. This does not adequately represent the experimentally-measured non-uniform thickness of the TV leaflets [26] or the chordae classification-specific thickness [54], which may influence the FE simulation results. Although previous TV simulation studies [13,14] attempted to improve these model simplifications by using 3D solid elements, simulations with such elements for modeling an incompressible material possess well-known numerical issues, such as volumetric locking [55-57] and element distortion owing to the distinct thin-membrane structure of the heart valves. Utilizing

shell elements for modeling TV leaflets, on the other hand, would be a better choice than 3D solid elements in terms of numerical stability and computational efficiency. Thus, as a moderate future extension of our work, we will incorporate the fully-comprehensive information, such as the non-uniform and chordae classification-specific thicknesses, into the shell and truss elements by using the ABAQUS *DISTRIBUTION TABLE, as described in Lee *et al.* (2015) [58]. Another extension of our modeling framework is to incorporate the dynamic motions of the TV annulus and PMs that better resembles the *in vivo* behaviors of these sub-valvular components. Khoiy *et al.* [29] developed an *ex vivo* passive beating heart apparatus to quantify the TVSL deformations and to investigate how chordae rupture affects the dynamic annulus motion [59]. We are currently enhancing our model by incorporating these *ex vivo* dynamic annulus deformations, and we are planning to use the experimental measurements for the septal leaflet strains from [29] as a first step towards a more comprehensive validation of the model predictions.

Thirdly, the present model does not incorporate pre-strains of the TV leaflets that are present *in vivo*, and the *in vivo* stress-free reference configuration needs to be determined. Previous studies have quantified these pre-strains for the aortic valve [60] and the mitral valve [61], and the effects of these pre-strains have been quantified via inverse finite element analysis [32,62]. In the heart valve biomechanics community it is typically assumed that the preconditioning protocol will restore the soft tissues to their *in vivo* functional state prior to mechanical data collection [63]. However, an exact comparison has yet to be made and the pre-strain values have not been quantified for the TV leaflets. Our group is currently designing an experimental apparatus to quantify the TV leaflet pre-strain values, and the information will be incorporated in future work using the present FE model.

Fourthly, the present model does not consider the mechanically sensitive valvular interstitial cells (VICs), which play an important role in the cell-mediated valvular growth and remodeling. Salma *et al.* [64] showed that the mitral valve VICs undergo a phenotypic activation from a fibroblast phenotype to a myofibroblast phenotype in pathological scenarios. The phenotypic activation is accompanied by the growth and remodeling of the extracellular matrix (ECM) of the valve leaflet tissue, including an upregulation of matrix metalloproteinases, increased collagen synthesis, and an increased presence of glycosaminoglycans (GAGs) and proteoglycans (PGs). Analogous investigations have not yet been made for the VICs of the tricuspid valve leaflets, but we anticipate that similar VIC-mediated ECM changes

would occur for the diseased TV leaflets. These changes would influence the tissue-level mechanical response, such as a material stiffening in the direction of newly synthesized collagen fibers or increased viscoelasticity following glycosaminoglycan deposition [31]. Consequently, the organ-level TV function would be altered, and it is unknown how these changes would alter the *in vivo* stress-free reference configuration. Thus, future extensions are warranted to quantify the growth and remodeling capabilities of the VICs of the TV for enhancing our *in-silico* model [65]. This model enhancement would enable re-evaluation of the current clinical treatments, such as the TV annuloplasty ring with a 17% recurrence rate of moderate-to-severe or severe regurgitation after 8 years of the initial surgical repair [66], and examine new therapeutic ideas (e.g., pharmacological treatments [67]).

Fifthly, the effects of pulmonary hypertension on the right ventricle's shape and function were not considered in the present *in-silico* study. Previous clinical studies [1,3] have shown an enlargement of the right ventricle following pulmonary hypertension. Although we attempted to emulate these changes in the papillary muscle displacement study scenario, it is anticipated the right ventricle geometry changes would also affect the TV apparatus due to its proximity. Therefore, an ideal scenario would be a simulation of the TV apparatus and the ventricles simultaneously, which would open new avenues for capturing the unique pathological and anatomical features of the right ventricle (RV). For example, the *in-silico* model could be used to simulate the RV segmental dysfunction associated with local akinesia (i.e., the reduced motion of the ventricle) [68], or it could represent 20-40% of the RV systolic pressure and volume outflow that comes from the ventricular interdependence [69]. In these scenarios, the contributions from the different ventricle regions (i.e., the inlet septum, the membranous septum, and the infundibular septum) could be systematically examined [70]. This would not only better represent the relationship between the TV and the right ventricle, but an investigation like the present study could be performed to better understand how FTR affects the right ventricle mechanical environment.

Another limitation of our study is that the results presented in this study were only for *one specific TV geometry* that may not be representative of the whole population. Preliminary data from our lab for porcine hearts show large ranges for the leaflet heights and the commissure heights, which will be an important consideration when formulating generalized observations about the effects of FTR on the TV function. Future extensions should consider TV geometries across different species (e.g., ovine, porcine or human)

to provide more realistic comparisons to the existing *in vivo* ovine studies [30,71-73] and to increase the model's clinical translatability. In parallel to this *in-silico* study, our labs are currently developing a versatile TV geometry modeling framework, based on non-uniform rational B-splines (NURBS), to flexibly represent various individual TV geometries and/or the population-averaged one. Interested readers are referred to our report [74]. We plan to use this geometry modeling framework with TV anatomical data from multiple porcine hearts to further explore how the anatomical variations affect the organ-level biomechanical function as well as the tissue-level mechanical responses. Nevertheless, we anticipate the trends of the results presented in this study will be relatively consistent between different geometries and species, while the magnitude of changes may be the primary difference.

Finally, the computational model must be validated using *patient-specific* TV geometries and boundary conditions before it can be implemented for direct use with clinical data. Once properly validated, this FE simulation pipeline can act as a virtual test bed for new treatment ideas for comparisons with the healthy or diseased TV, and existing therapeutic options. Changes in the mechanical and geometry metrics can then be determined to provide a quantitative indicator for the device's performance prior to any *in vivo* animal studies or clinical trials. Additionally, patient-specific investigations can be performed to enhance the clinical diagnosis of FTR and provide personally tailored therapeutic design.

4.4 Concluding Remarks

This pilot *in-silico* modeling-based study has provided new insight into how FTR pathologies alter the TV biomechanical function and the closed geometry of the tricuspid heart valve. Our systematic computational investigations of the FTR pathologies have revealed that PM displacement alters the TV biomechanical function more noticeably than annulus dilation or pulmonary hypertension. Our simulation results also showed that the mechanical changes associated with non-uniform dilation are more evident than those induced by uniform annulus dilation. Furthermore, the pulmonary hypertension study scenarios exhibited opposite trends of the changes in the geometry metrics compared to the annulus dilation and PM displacement scenarios. Finally, our simulations of chordae rupture demonstrated that the chordae attached to the TV anterior and septal leaflets may be more crucial to prevent leaflet flail. These key observations could enhance the current understanding of FTR, and extensions of this work are warranted to address patient-specific diagnosis and treatment of TV disease.

Appendix A: Verification of the Material Model Implementation in the ABAQUS VUMAT Subroutine

The selected material model in Eq. (1) was implemented using the ABAQUS VUMAT subroutine and verified by simulating a 5x5 mm planar tissue undergoing biaxial tension. For this verification problem, symmetry was assumed, a displacement of 0.87 mm was applied in each direction (**Fig. A1a**), and the results were compared with the analytical solution (**Fig. A1b**). An excellent agreement between the simulations result via the VUMAT subroutine and the analytical solution verify the correct implementation of the material model for the subsequent tricuspid valve simulations.

Appendix B: Determination of the Non-Uniformly Dilated Annulus Configuration

This appendix outlines the method for determining the scaling factors for achieving the 40% annulus dilation for the non-uniform annulus dilation scenario (**Section 2.2.2**). The algorithm for determining the non-uniformly dilated TV annulus provided in **Figure B1** is summarized as follows:

- (Step 1) Make an initial guess at the values of α_x and α_y ;
- (Step 2) Create the dilated annulus geometry using Eq. (3);
- (Step 3) Compute the aspect ratio (AR), that is the ratio of the longest length in the x -direction to the longest length in the y -direction, and compare with a targeted aspect ratio of 1.0;
- If $AR > 1.0$, then increase α_x and decrease α_y . Repeat Steps 2-3;
 - If $AR < 1.0$, then decrease α_x and increase α_y . Repeat Steps 2-3;
 - If $|AR - 1.0| < 10^{-6}$, then advance to Step 4.
- (Step 4) Compute the total annulus length $L_{annulus}$ and calculate the ratio of the current annulus length to the initial annulus length L_0 ;
- If $L_{annulus}/L_0 < 1.4$, increase both α_x and α_y and repeat Steps 2-4;
 - If $L_{annulus}/L_0 > 1.4$, decrease both α_x and α_y and repeat Steps 2-4;
 - If $|L_{annulus}/L_0 - 1.4| < 10^{-6}$, then the iteration is terminated and the current values of α_x and α_y are determined scaling factors for the non-uniform annulus dilation study scenarios.
- (Step 5) Create the final dilated annulus geometry with $AR=1.0$ that is 1.4 times the size of the original TV annulus.

Appendix C: Comparison of the Healthy FE Simulation Result with the micro-CT 3D Point Cloud

The healthy FE simulation closed geometry (**Fig. 5a**) was compared with the micro-CT based 3D point cloud data used to generate the FE model geometry (see **Section 2.1.1**). A qualitative comparison of the two surfaces (**Fig. C1a-b**) shows that the differences are primarily in the regions where FE predictions (red surface) have creases in the closed TV leaflet configuration, such as the TVAL or the TVSL. Additionally, the bidirectional local distance measure [75] was computed to quantitatively compare the two surfaces (**Fig. C1c**). The statistical values of the bidirectional local distance, presented as median \pm 0.5*interquartile range (IQR), show acceptable small errors for the three TV leaflets: 1.66 \pm 0.79 mm for the TVAL, 0.98 \pm 0.56 mm for the TVPL, and 1.12 \pm 0.70 mm for the TVSL.

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Conflicts of Interest

The authors of this paper have no financial or personal relationships with other people or organizations that could inappropriately influence (bias) our work.

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Nomenclature

Category	Abbreviation	Description
Anatomy & FE Result Post- Processing	AP	Anterior-posterior
	APCV	Anterior-posterior cut view
	AS	Anterior-septal
	ASCV	Anterior-septal cut view
	CT	Chordae Tendineae
	CH	Coaptation height
	LH	Leaflet height
	PM	Papillary muscle
	PS	Posterior-septal
	PSCV	Posterior-septal cut view
	RV	Right ventricle
	TV	Tricuspid valve
	TVAL	Tricuspid valve anterior leaflet
	TVPL	Tricuspid valve posterior leaflet
TVSL	Tricuspid valve septal leaflet	
Disease & Pathology	α_x	Scaling factor in the x -direction for non-uniform TV annulus dilation
	α_y	Scaling factor in the y -direction for non-uniform TV annulus dilation
	$\bar{\alpha}$	Scaling factor for uniform TV annulus dilation
	FA	Flattened annulus
	FTR	Functional tricuspid regurgitation
	H	Healthy
	NAD	Non-uniform annulus dilation
	PH	Pulmonary hypertension
	PMD	Papillary muscle displacement
UAD	Uniform annulus dilation	
Modeling & Mechanics Related	C	Right Cauchy-Green tensor
	Circ	Circumferential direction of the TV leaflets
	E	Green-Lagrange strain tensor
	F	Deformation gradient tensor
	FE	Finite element
	I_1	First invariant of C
J	Jacobian of F , i.e., $\det\mathbf{F}$	

	λ	Stretch ratio
	MaxPE	Maximum principal Green-Lagrange strain
	MinPE	Minimum principal Green-Lagrange strain
	p	Penalty parameter to enforce the incompressibility condition
	Rad	Radial direction of the TV leaflets
	VMS	von Mises stress
	W	Strain-energy density function

Tables

Table 1 – Parameters used for the pathological study scenarios of both the annulus dilation (**Fig. 2a**) and the papillary muscle displacement (**Fig. 2b**). The magnitudes of the papillary muscle displacements were adopted from Spinner *et al.* (2011) [22].

Study Scenario	Disease-Related Modification	Parameter	
Non-Uniform Annulus Dilation	Direction	Annulus Node Scaling Value	
	x -direction α_x	0.27	
	y -direction α_y	0.58	
Uniform Annulus Dilation	All directions $\bar{\alpha}$	0.40	
Papillary Muscle Displacement	Papillary Muscle	Apical Displacement	Longitudinal Displacement
	Anterior-Posterior (AP)	7.16 mm	5.37 mm
	Anterior-Septal (AS)	7.16 mm	7.16 mm
	Posterior-Septal (PS)	8.95 mm	7.16 mm

Table 2 – Percentage difference of the mechanics metrics for all the pathological study scenarios with reference to the healthy TV. Values of the von Mises stress and the maximum and minimum principal Green-Lagrange strains for the healthy scenario are presented as mean±SD.

	TVAL			TVPL			TVSL			PM Tip Force		
	VMS (kPa)	MaxPE (-)	MinPE (-)	VMS (kPa)	MaxPE (-)	MinPE (-)	VMS (kPa)	MaxPE (-)	MinPE (-)	AP (N)	AS (N)	PS (N)
Healthy	24.7±7.9	0.33±0.07	0.01±0.04	30.6±10.9	0.41±0.06	0.07±0.03	41.9±8.6	0.44±0.03	0.00±0.03	0.30	0.47	0.35
UAD	+163.4%	+43.4%	+187.6%	+154.9%	+28.0%	-45.7%	+100.5%	+20.1%	986.3%	+163.2%	+141.0%	+120.5%
NAD	+296.6%	+73.9%	+1249.0%	+308.6%	+41.9%	-8.4%	+100.1%	+18.7%	463.9%	+259.9%	+174.5%	+195.4%
PMD	+372.1%	+71.6%	+566.1%	+498.5%	+45.3%	-38.8%	+141.3%	+23.6%	228.2%	+833.5%	+354.2%	+421.8%
PH	+102.6%	+23.4%	-110.8%	+72.6%	+15.8%	+1.8%	+95.5%	+12.0%	+64.5%	+72.4%	+71.9%	+66.4%

Acronyms: TVAL: tricuspid valve anterior leaflet, TVPL: tricuspid valve posterior leaflet, TVSL: tricuspid valve septal leaflet, PM: papillary muscle, VMS: von Mises stress, MaxPE: maximum principal Green-Lagrange strain, MinPE: minimum principal Green-Lagrange strain, AP: anterior-posterior, AS: anterior-septal, PS: posterior-septal, UAD: uniform annulus dilation, UAD: uniform annulus dilation, NAD: non-uniform annulus dilation, PMD: papillary muscle displacement, and PH: pulmonary hypertension

Table 3 – Percentage difference of the geometry metrics for all the pathological study scenarios with reference to the healthy TV.

	APCV-1	APCV-2	APCV-3	ASCV-1	ASCV-2	ASCV-3	PSCV-1	PSCV-2	PSCV-3	
Tenting Area	H	73.4 mm ²	86.7 mm ²	94.9 mm ²	19.9 mm ²	6.0 mm ²	8.4 mm ²	7.1 mm ²	18.1 mm ²	
	UAD	+140.7%	+171.9%	+174.4%	+5.8%	+403.1%	+584.9%	+696.0%	+388.9%	
	NAD	+71.2%	+142.0%	+185.5%	+253.8%	+1023.5%	+544.6%	+1263.7%	+835.8%	
	PMD	+242.0%	+257.6%	+283.4%	+389.8%	+1881.9%	+1102.4%	+1778.1%	+2419.2%	+1129.0%
	PH	-18.7%	-17.9%	-17.8%	-53.0%	-49.8%	-50.4%	-36.7%	-49.8%	-41.5%
Tenting Height	H	6.2 mm	7.9 mm	9.5 mm	12.8 mm	2.4 mm	3.2 mm	2.9 mm	4.4 mm	
	UAD	+55.3%	+78.7%	+77.8%	-56.7%	+150.3%	+134.2%	+172.4%	+174.6%	+131.5%
	NAD	+6.7%	+54.9%	+58.1%	-30.2%	+233.6%	+177.8%	+304.3%	+294.7%	+230.6%
	PMD	+279.2%	+209.7%	+162.6%	-2.6%	+454.6%	+428.7%	+494.9%	+665.2%	+449.6%
	PH	-11.1%	-11.4%	-15.4%	-70.2%	-22.9%	-26.3%	-12.2%	-25.4%	-22.3%
Coaptation Height	H	30.1 mm	6.4 mm	7.0 mm	5.6 mm	11.7 mm	14.3 mm	13.4 mm	12.2 mm	
	UAD	-32.5%	-93.6%	-58.1%	+14.2%	-49.0%	-54.6%	-60.7%	-38.7%	-50.2%
	NAD	-36.9%	+266.6%	-57.5%	+30.9%	-57.7%	-53.7%	-58.0%	-57.2%	-67.0%
	PMD	-88.0%	-18.5%	-10.6%	+93.8%	-20.5%	-41.6%	-46.4%	-51.9%	-28.8%
	PH	+1.8%	+13.8%	+13.0%	+95.3%	+1.1%	+0.6%	-2.6%	+2.7%	+5.0%

Acronyms: APCV: anterior-posterior cut view, ASCV: anterior-septal cut view, PSCV: posterior-septal cut view, H: healthy, UAD: uniform annulus dilation, NAD: non-uniform annulus dilation, PMD: papillary muscle displacement, and PH: pulmonary hypertension

Table 4 – Comparison of the average tissue stretch λ and the Green-Lagrange strain E for the central region of the TVAL between the present work and other *in vitro* TVAL mechanical characterizations (values were determined at an equibiaxial Cauchy stress of 24.7 kPa). Results from the present study are reported in mean \pm SD, whereas other studies are in mean \pm standard error of the mean (SEM).

Study	Species	λ_{circ}	λ_{rad}
Present Numerical Study	–	0.94 \pm 0.07	1.31 \pm 0.09
Khoiy et al. (2016) [37] [†]	Porcine	1.07 \pm 0.01	1.13 \pm 0.03
Jett et al. (2018) [18] [‡]	Porcine	1.19 \pm 0.02	1.54 \pm 0.07
	Ovine (Adult)	1.45 \pm 0.08	1.68 \pm 0.04
	Ovine (Juvenile)	1.48 \pm 0.09	1.69 \pm 0.06
Ross et al. (2019) [31] [‡]	Porcine	1.18 \pm 0.02	1.21 \pm 0.01
Study	Species	E_{circ}	E_{rad}
Present Numerical Study	–	-0.06 \pm 0.07	0.36 \pm 0.13
Pham et al. (2017) [38] [†]	Human	0.11 \pm 0.01	0.15 \pm 0.01
Pokutta-Paskaleva et al. (2019) [39] [†]	Porcine	0.02 \pm 0.01	0.14 \pm 0.03

[†]Experimental data with respect to the post-preconditioned configuration.

[‡]Experimental data with respect to the mounted configuration.

Note: Data of Pham et al. (2017) [38] and Pokutta-Paskaleva et al. (2019) [39] were reported as Green-Lagrange strains in their original studies, and the SEM is not available for being transformed into the tissue stretch. Hence, the results were reported in the Green-Lagrange strain.

Table 5 – Comparison of the average tissue stretch in the circumferential λ_{circ} and the radial λ_{rad} directions between the present study and our previous study on the regional variations in the tissue mechanics of the TVAL. Results from the present study are reported in mean \pm SD, whereas the results from Laurence *et al.* (2019) [26] are provided in mean \pm SEM.

TVAl Region	Present Simulation-Based Study				Laurence <i>et al.</i> (2019) [26]		
	von Mises stress (kPa)	λ_{circ}	λ_{rad}	Anisotropy Index	λ_{circ}	λ_{rad}	Anisotropy Index
A	28.3 \pm 14.4	0.92 \pm 0.04	1.28 \pm 0.10	1.40 \pm 0.15	1.29 \pm 0.06	1.31 \pm 0.06	1.01
B	47.5 \pm 20.2	0.90 \pm 0.08	1.39 \pm 0.06	1.55 \pm 0.19	1.25 \pm 0.03	1.36 \pm 0.08	1.09
C	21.3 \pm 12.1	0.93 \pm 0.05	1.19 \pm 0.10	1.29 \pm 0.15	1.27 \pm 0.05	1.26 \pm 0.06	0.99
D	29.1 \pm 9.2	0.90 \pm 0.04	1.34 \pm 0.04	1.50 \pm 0.10	1.26 \pm 0.05	1.33 \pm 0.08	1.05
E	14.3 \pm 6.9	0.98 \pm 0.05	1.20 \pm 0.08	1.23 \pm 0.11	1.18 \pm 0.03	1.27 \pm 0.07	1.08
F	33.6 \pm 16.3	0.86 \pm 0.05	1.35 \pm 0.07	1.58 \pm 0.14	1.19 \pm 0.03	1.21 \pm 0.05	1.01

Note: Anisotropy Index = $\lambda_{rad}/\lambda_{circ}$

Figure Captions

Figure 1 – (a) Excised porcine tricuspid valve showing the anterior leaflet (TVAL), posterior leaflet (TVPL), and septal leaflet (TVSL), the leaflet heights (LH), the commissure heights for each leaflet conjunction (e.g., CH_{A-S} between the TVAL and TVSL), the annulus, the TV leaflet free edge, the chordae tendineae, and the papillary muscles. Image adapted from Lee *et al.* (2019) [15]. (b) Geometrical modeling pipeline showing point cloud data (*left*), which were segmented from micro-CT image data, transformed using B-spline geometry representations (*middle*, see more details in Kamensky *et al.* (2018) [12]) to create the finite element mesh (*right*).

Figure 2 – Illustrations of the FE numerical study scenarios: (a) uniform and non-uniform dilations of the TV annulus, (b) longitudinal and apical displacements of the papillary muscles, (c) 11 groups associated with chordae rupture, and (d) saddle-shaped and flattened configurations of the TV annulus.

Figure 3 – (a) *In vitro* stress-free reference configuration and three configurations of interest for analyzing the finite element simulation results. Note that the healthy and pulmonary hypertension study scenarios do not contain the simulated pathology condition because no modifications to the TV geometry are involved. (b) Central region and (c) six smaller regions used for comparing the engineering mechanics values between the simulation results and the *in vitro* experimental data from Laurence *et al.* (2019) [26]. (d) Definition of the clinically-relevant geometry metrics and (e) the nine cut views (PSCV: posterior-septal cut view; ASCV: anterior-septal cut view; APCV: anterior-posterior cut view) used for post-processing and analyses of the geometry-related metrics.

Figure 4 – Contours of (a) the maximum principal Green-Lagrange strain, and (b) the minimum principal Green-Lagrange strain plotted on the undeformed leaflet geometry. The dashed lines indicate the principal direction of the maximum/minimum principal Green-Lagrange strains. (Acronyms: TVAL: tricuspid valve anterior leaflet, TVPL: tricuspid valve posterior leaflet, TVSL: tricuspid valve septal leaflet).

Figure 5 – Finite element simulation results for the healthy and functional tricuspid regurgitation pathology study scenarios: (a) von Mises stress, (b) maximum principal Green-Lagrange strain, and (c) minimum principal Green-Lagrange strain. (Acronyms: UAD: uniform annulus dilation, NAD: non-uniform annulus dilation, PMD: papillary muscle displacement, PH: pulmonary hypertension).

Figure 6 – Central leaflet region's mechanics metrics for each numerical study scenario considering a saddle-shaped annulus configuration: (a) average von Mises stress, (b) average maximum principal Green-Lagrange strain, (c) average minimum principal Green-Lagrange strain, and (d) papillary muscle tip reaction force. The blue dotted lines (...) are mechanics metrics values at the *simulated pathology condition*, whereas the red dashed lines (--) are the mechanics metrics values at the *leaflet coaptation*. Note: the PH scenario does not have the simulated pathology condition (i.e., blue dashed lines). (Acronyms: TVAL: tricuspid valve anterior leaflet, TVPL: tricuspid valve posterior leaflet, TVSL:

tricuspid valve septal leaflet, NP: no pathology, UAD: uniform annulus dilation, NAD: non-uniform annulus dilation, PMD: papillary muscle displacement, PH: pulmonary hypertension).

Figure 7 – Clinically-relevant geometry metrics associated with the coaptation between two leaflets of the simulated TV for each numerical study scenario considering a saddle-shaped annulus configuration. The red dashed lines in (a) show the tenting area value observed by Kim *et al.* (2006) [10] while the red dashed lines in (b) show the tenting height value observed by Sukmawan *et al.* (2007) [36] that are associated with tricuspid regurgitation. (Acronyms: CV: cut view, APCV: anterior-posterior CV, ASCV: anterior-septal CV, PSCV: posterior-septal CV).

Figure 8 – Predicted von Mises stress contours for the study sub-scenarios of papillary muscle displacement: (a) apical displacement only, (b) longitudinal displacement only, and (c) combined apical and longitudinal displacements. The columns represent the varying percentages (0-100%) of the PM displacement values found in Spinner *et al.* (2011) [22].

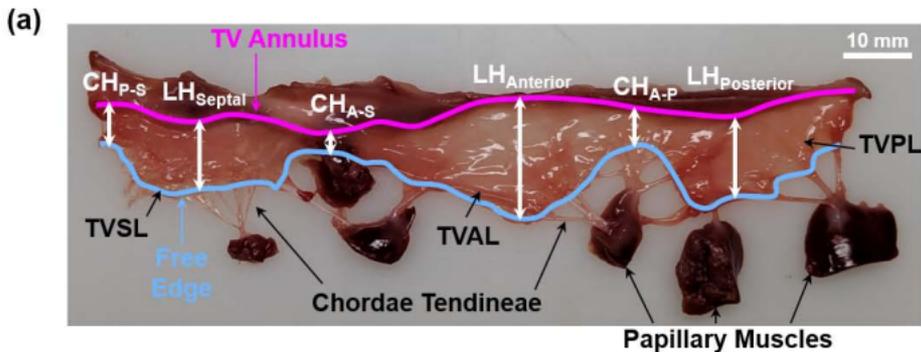
Figure 9 – Predicted von Mises stress contours for the study scenarios of rupturing chordae groups: (a) from the superior view, and (b) from the isometric view (magenta arrows indicate the TV leaflet flail into the right atrium).

Figure Captions (Appendices A, B, and C)

Figure A1 – (a) Schematic of the VUMAT verification problem for simulating biaxial tension of a square specimen. (b) Comparison of the FE results and the analytical solutions.

Figure B1 – Flowchart of the algorithmic procedure to determine the optimal values of α_x and α_y for the non-uniform annulus dilation scenario, targeting an aspect ratio (AR) of 1.0 and $L_{annulus}=1.4L_0$, where $L_{annulus}$ is the total annulus length and L_0 the initial annulus length.

Figure C1 – (a-b) Two selected angles of view to compare the FE simulation result (red surface) with the surface reconstructed from the image 3D point cloud data used for the FE model development (light blue surface). (c) Contour of the bidirectional local distance error measurement.

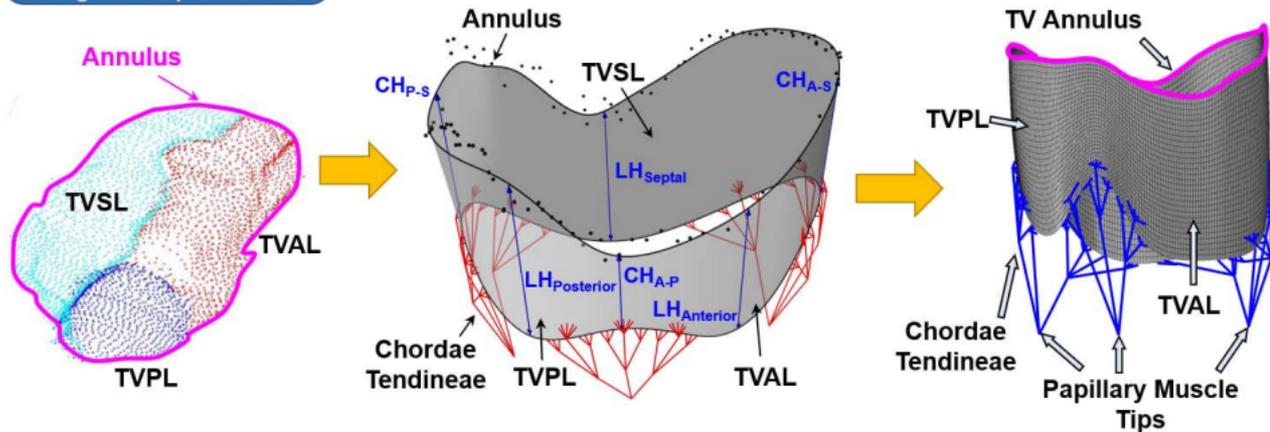


(b)

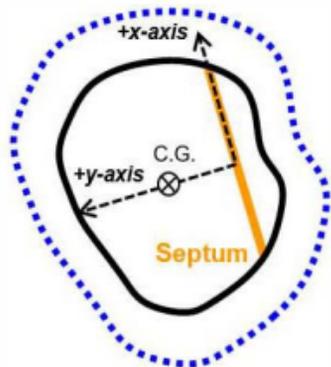
3D point cloud data segmented from micro-computed tomography images of a porcine TV

B-spline parametric representations of tricuspid valve's leaflet surfaces and chordae tendineae cables

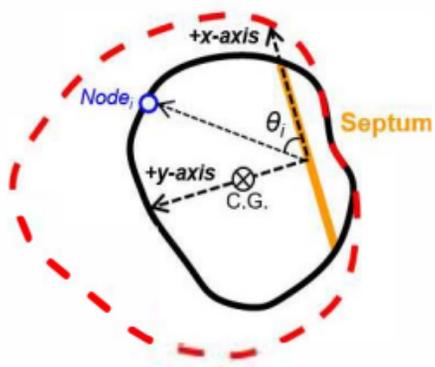
Finite element mesh for the TV



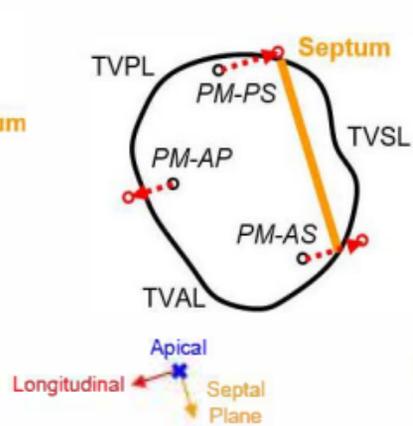
(a) Uniform Dilatation



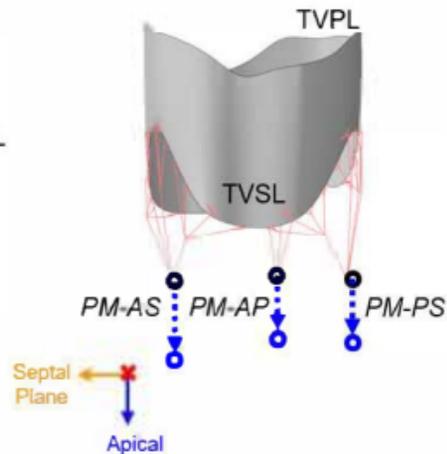
Non-Uniform Dilatation



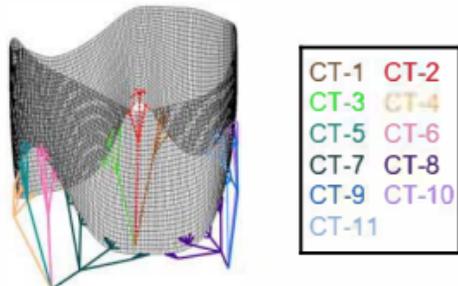
(b) Longitudinal PMD



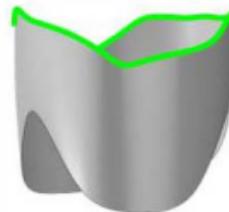
Apical PMD



(c)

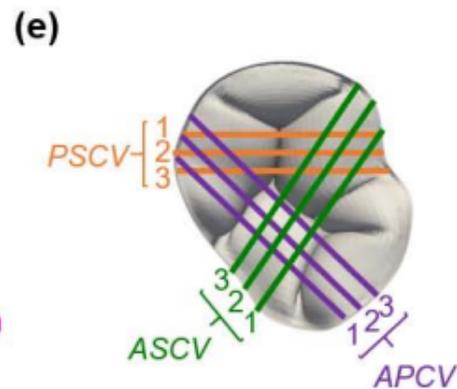
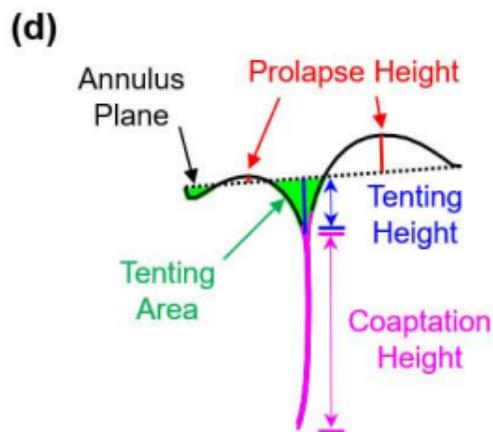
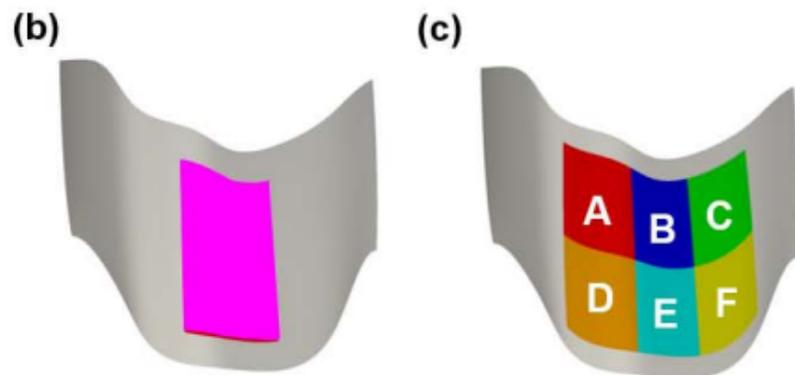
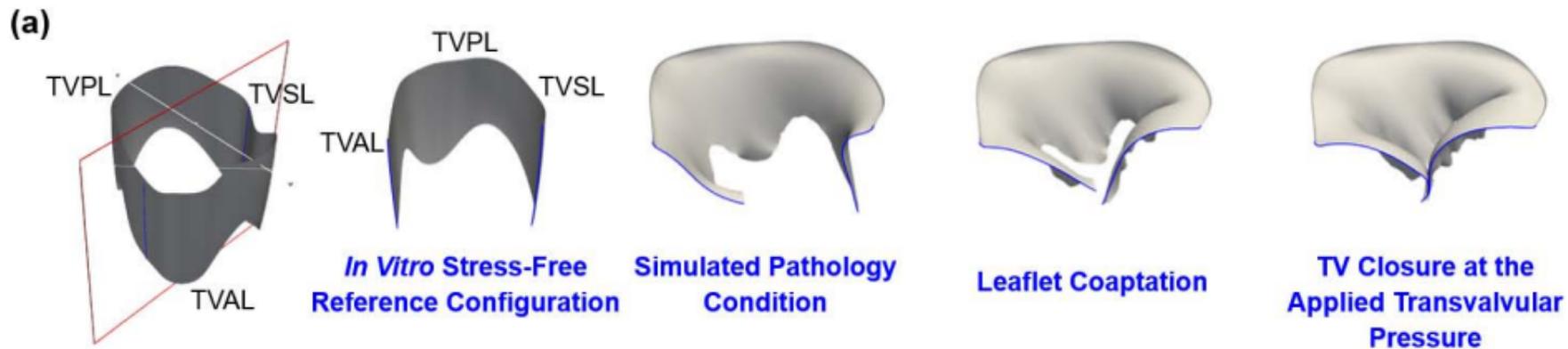


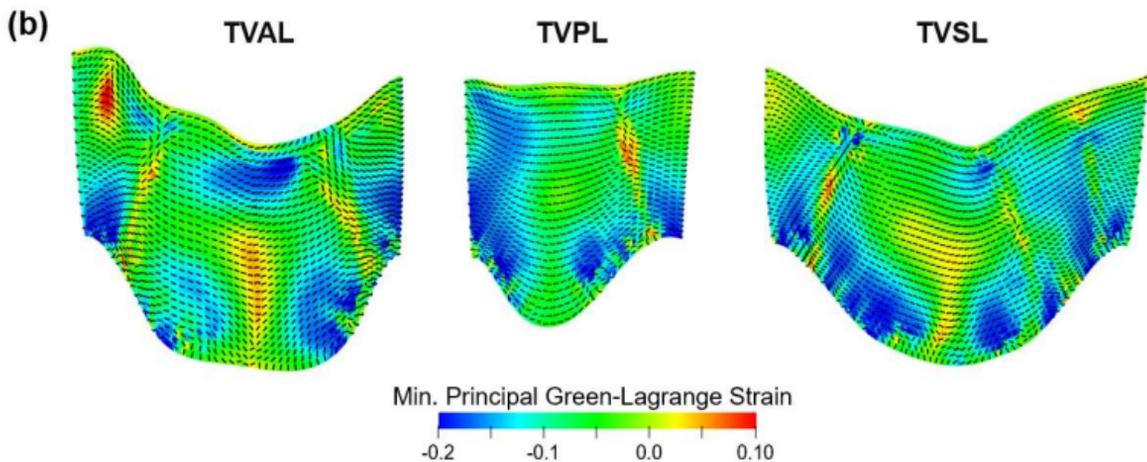
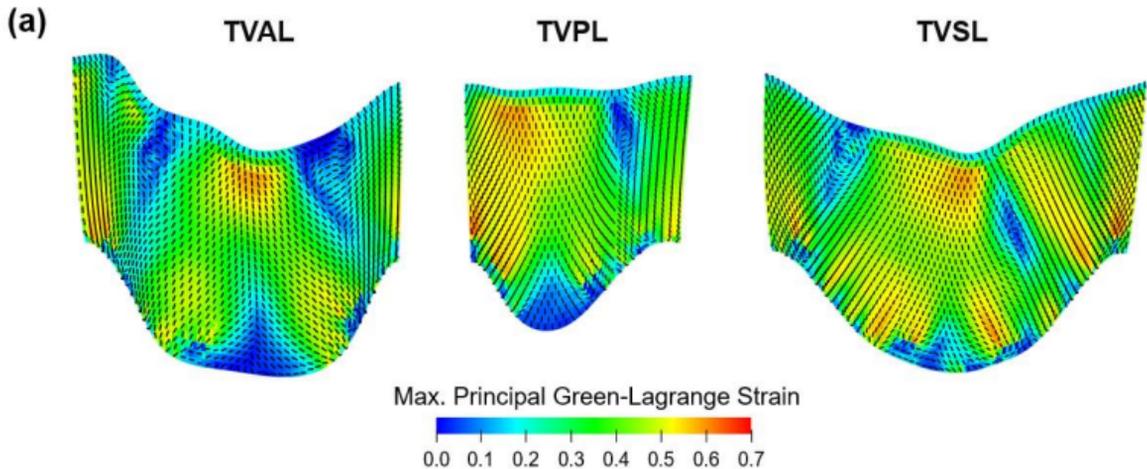
(d) Saddle-Shaped TV Annulus

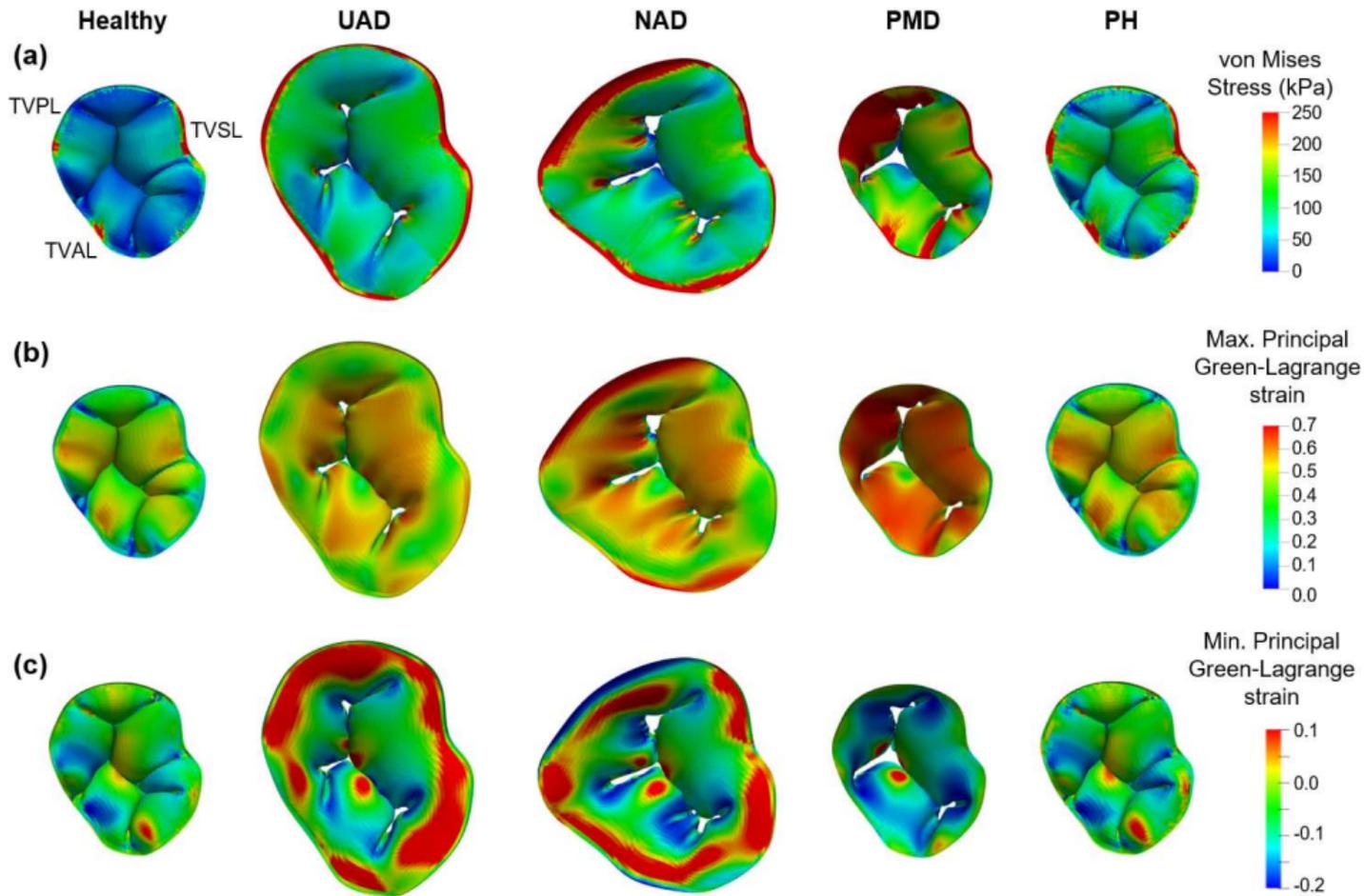


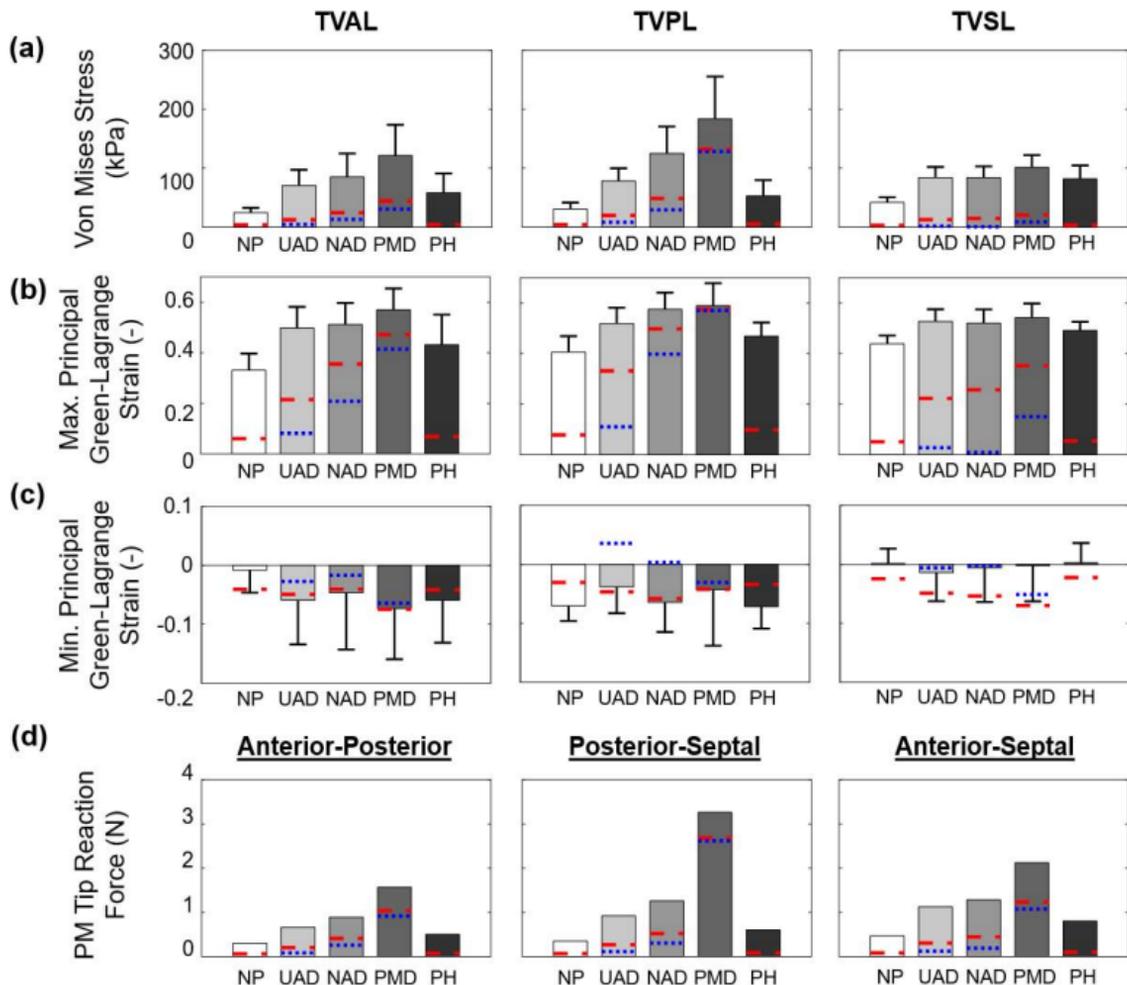
Flattened TV Annulus

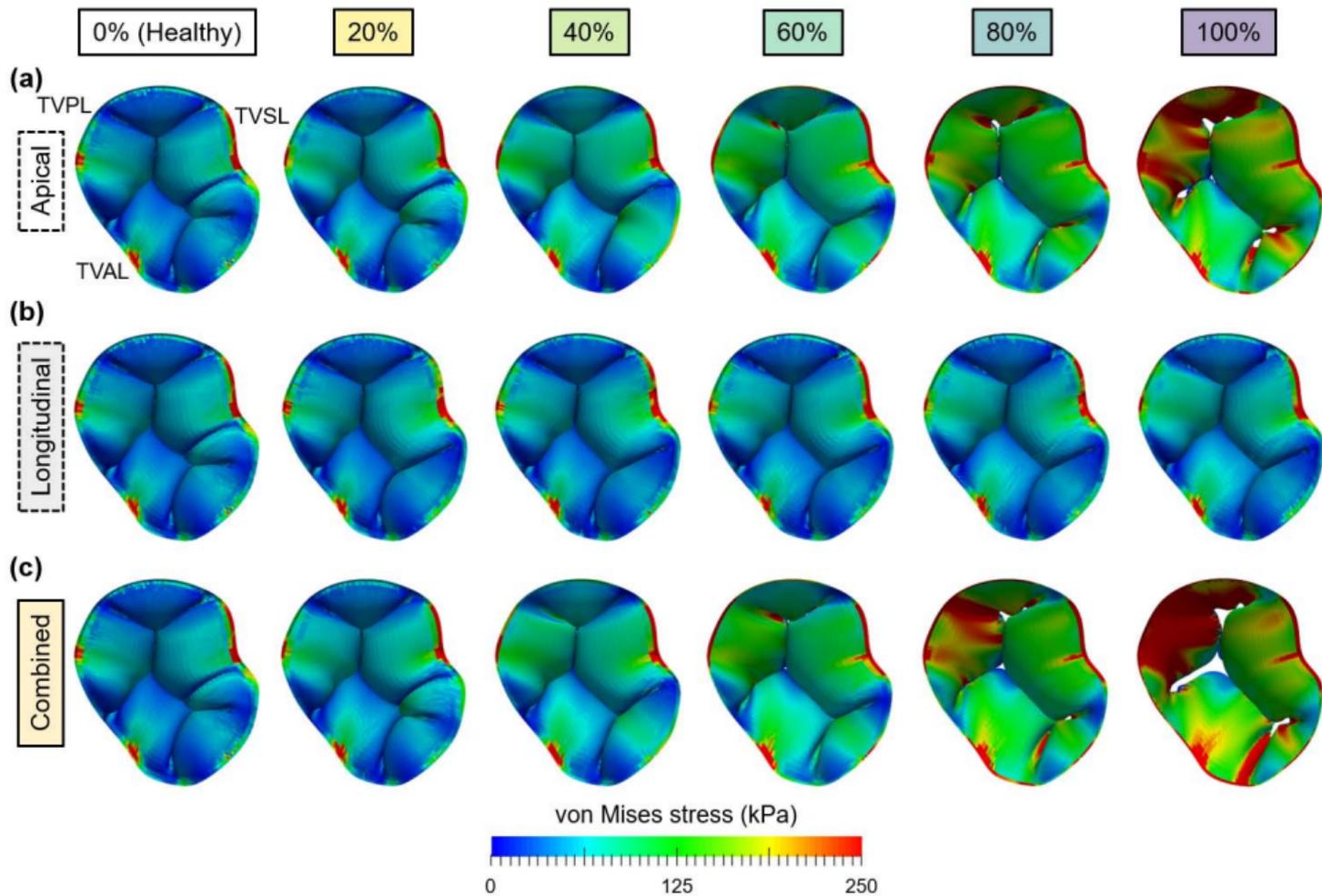


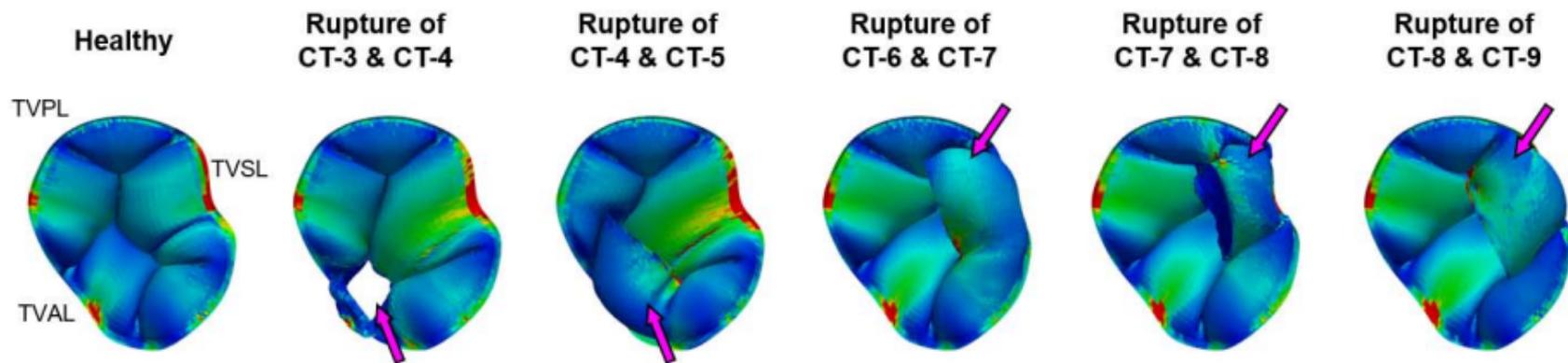
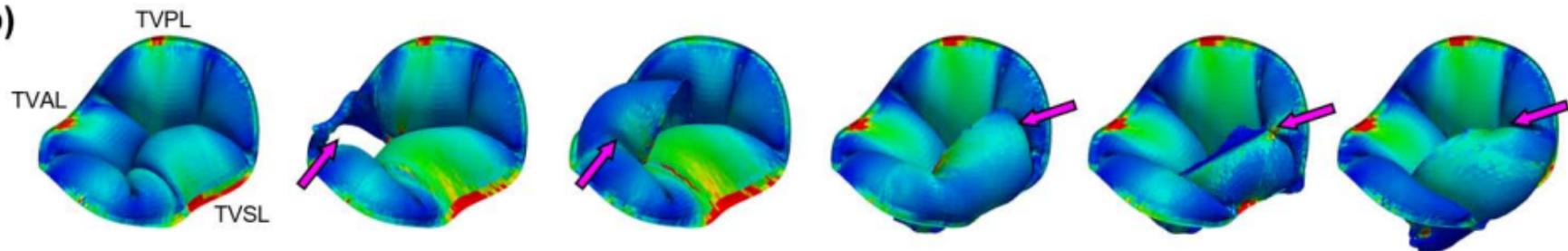




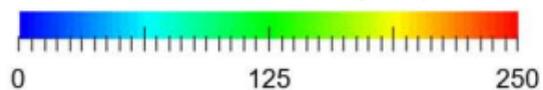


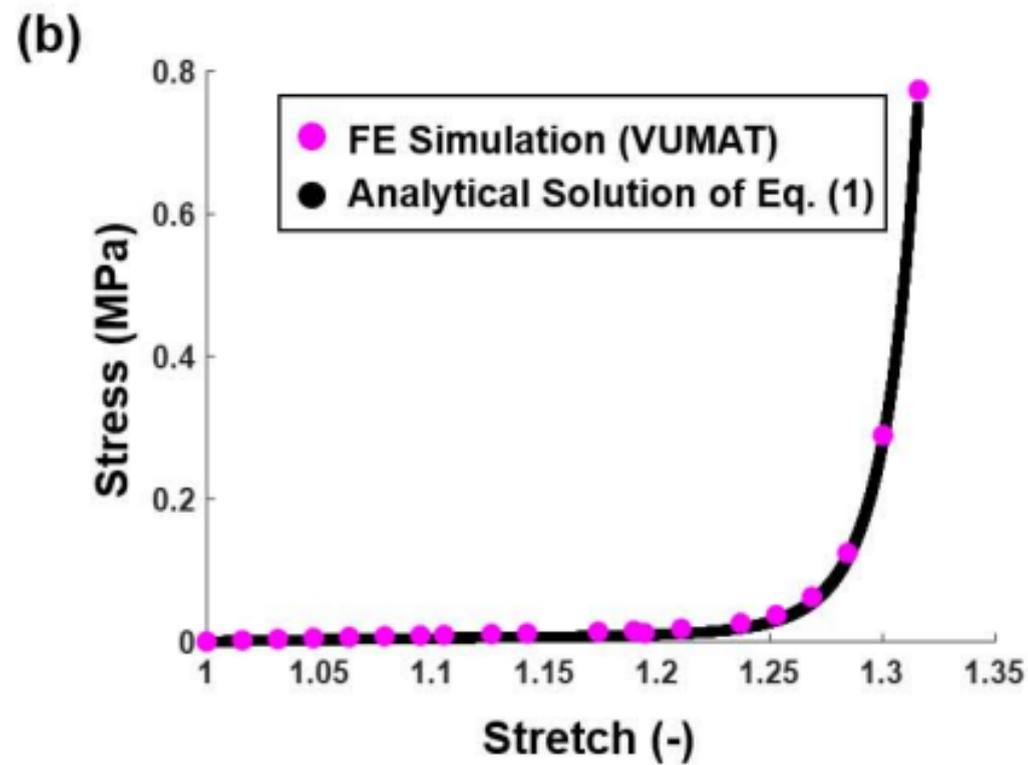
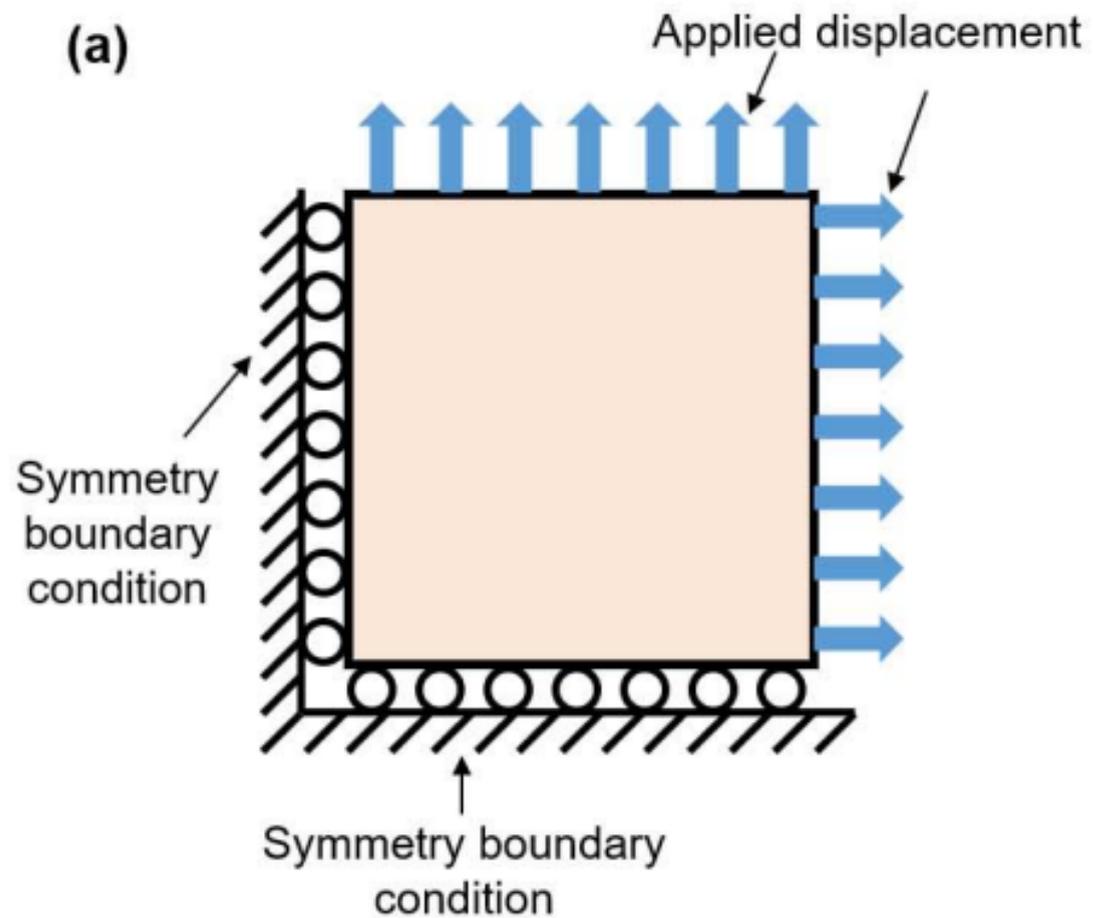




(a)**(b)**

von Mises stress (kPa)

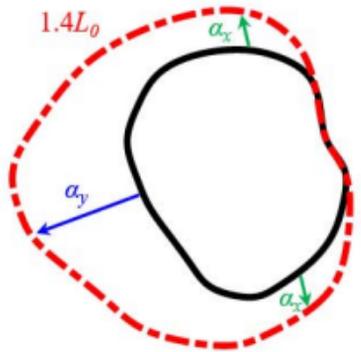
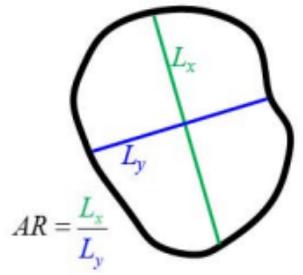
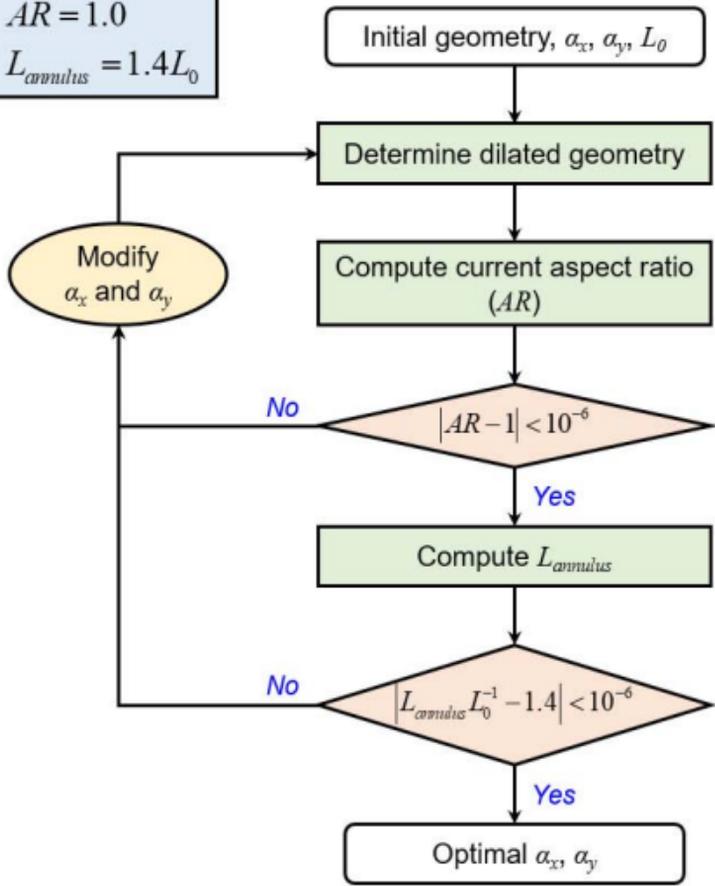




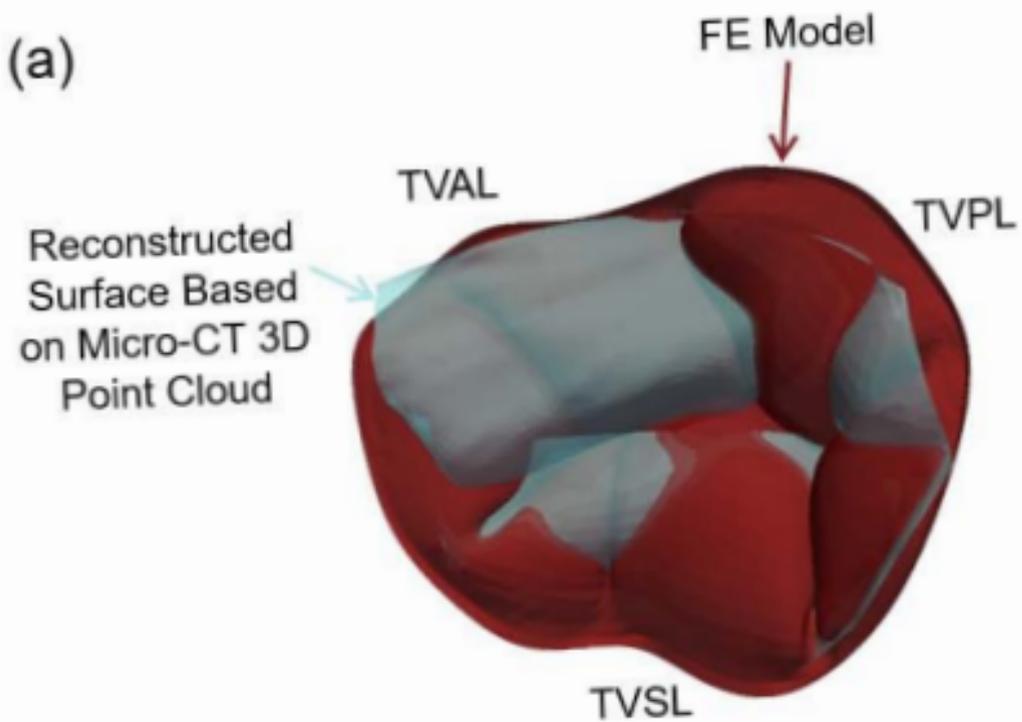
Target

$$AR = 1.0$$

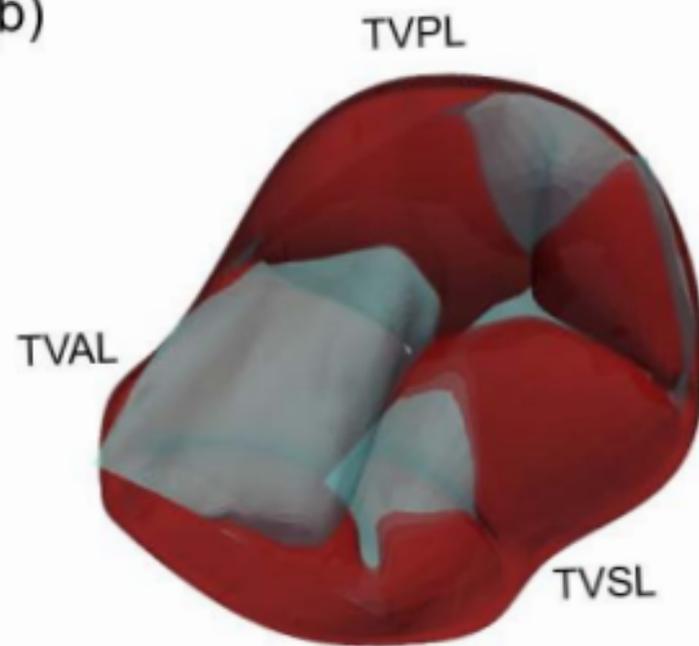
$$L_{annulus} = 1.4L_0$$



(a)



(b)



(c)

