

1 **Advancement to the highly parallel qPCR array targeting antibiotic**
2 **resistance genes and mobile genetic elements**

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20 element, validation, qPCR array, SmartChip, primer design tool

21 **Abstract**

22 The high-throughput antibiotic resistance gene (ARG) qPCR array, initially published in 2012, is
23 increasingly used to quantify resistance and mobile determinants in environmental matrices.
24 Continued utility of the array; however, necessitates improvements such as removing or redesigning
25 questionable primer sets, updating targeted genes and coverage of available sequences. Towards this
26 goal, a newly developed primer design tool was used to aid in identification of conserved regions of
27 diverse genes. The total number of assays used for diverse genes was reduced from 91 old primer sets
28 to 52 new primer sets, with only a 10% loss in sequence coverage. While the old and new array both
29 contain 384 primer sets, a reduction in old primer sets permitted 147 additional mobile ARGs and
30 MGEs to be targeted. Results of validating the updated array with a mock community of strains
31 resulted in over 98% of tested instances incurring true positive/negative calls. Common queries
32 related to sensitivity, quantification, and conventional data analysis (e.g. Ct cutoff value, and
33 estimated genomic copies without standard curves) were also explored. A combined list of new and
34 previously used primer sets (termed updated ARG qPCR array 2.0) is recommended based on
35 redesign of primer sets and results of validation.

36 **Introduction**

37 Antibiotic resistance is considered an emerging pollutant due to the threat of acquired resistance
38 in human and animal pathogens (Alanis 2005). Antibiotic resistance genes (ARGs) have been found
39 in numerous environments such as water, soil, manure, and air (Zhang *et al.* 2009; Hu *et al.* 2016; Pal
40 *et al.* 2016; Xu *et al.* 2016), necessitating comprehensive tools that can be used to quantify
41 dissemination and provide ecological risk assessment. One increasingly used tool is the high-
42 throughput qPCR array, which was originally published over five years ago for simultaneous
43 detection of hundreds of mobile genetic elements (MGEs) and ARGs (Looft *et al.*, 2012). Combined
44 with commercially available tools such as the Takara (previously Wafergen) SmartChip, which can
45 amplify up to 5,184 qPCR assays per chip within 3-4 h, up to 384 primer sets can be analyzed in
46 parallel.

47 Highly parallel qPCR studies targeting ARGs have typically used 296 or 384 primer set formats
48 (Zhu *et al.* 2013; Wang *et al.* 2014, 2016; Karkman *et al.* 2016; Muziasari *et al.* 2016; Muurinen *et al.*
49 2017; Stedtfeld *et al.* 2017a, 2017b) with minimal changes to original assays. However, multiple
50 ARG types (e.g. NDM, *mcr-1* genes) have since been discovered (Kumarasamy, KK Toleman *et al.*
51 2010; Liu *et al.* 2016), as have new sequences for previously targeted genes in various environments
52 and host-associated conditions (Forsberg *et al.* 2012; Hu *et al.* 2013). Information regarding mobile
53 potential of ARGs (e.g. horizontal gene transfer) is also increasingly available (Courvalin 2008;
54 Martinez, Coque and Baquero 2015; Hu *et al.* 2016).

55 Numerous studies using the ARG qPCR array has also provided insight into questionable primer
56 sets in terms of specificity; as hundreds of samples from environmental (Stedtfeld *et al.* 2016; Zhu *et*

57 *al.* 2017), waste-water (Karkman *et al.* 2016), and fecal matrices (Qian *et al.* 2018; Do *et al.* 2107)
58 have now been run on the qPCR ARG array. Primers that rarely or often amplify are thought to
59 provide limited information in quantifying selective pressure in environmental samples. With
60 questionable utility and specificity, primer sets that rarely or often amplify were redesigned or
61 removed.

62 To make additional room for genes that were previously not targeted on the old array, genes
63 requiring multiple primer sets for coverage were redesigned using a novel tool developed by the
64 Ribosomal Database Project (RDP) at Michigan State University
65 (<https://github.com/rdpstaff/PrimerDesign>), which reduces subjective bias of design caused by manual
66 searches for conserved regions in diverse genes. In total, advancements of the ARG qPCR array
67 included: i) reducing number of primers required for high coverage of divergent genes, ii) redesign or
68 removal of questionable primer sets, and iii) targeting additional ARGs and MGEs with an emphasis
69 on mobility. Results include criteria used to select genes for primer redesign or removal, validation,
70 and a compressive list of old and new primer sets with recommended assays for the updated ARG
71 qPCR array (referred as ARG Array 2.0).

72 **Materials and methods**

73 *Reference sequences collection and primer design*

74 Selection of primer sets and gene target that were either redesigned or removed from the array
75 are described in more detail below. Removal of primer sets provided space for primer sets for
76 previously untargeted genes. Selection of previously untargeted genes on the array was based on the
77 analysis of ARGs observed on mobile elements via whole genome analysis of 23,435 bacterial

78 genomes as described (Hu *et al.*, 2016), or genes listed as experimentally confirmed plasmid borne
79 genes in the Antibacterial and Biocide and Metal Resistance Genes Database (Pal *et al.*, 2014).
80 Reference sequences for selected target genes were assembled using the RDP FunGene Pipeline
81 (<http://fungene.cme.msu.edu/>), which can be used to automatically download, align, and trim
82 sequences for a given gene. For genes not currently listed in Fungene, reference sequences from the
83 latest version of ARG-ANNOT AA V3 March 2017 (Antibiotic Resistance Gene-ANNOTation) were
84 used to gather additional sequences of high similarity via NCBI.

85 Following sequence collection, primer sets were designed for these gene targets using the RDP
86 PrimerDesign Tool (<https://github.com/rdpstaff/PrimerDesign>). The tool highlights conserved regions
87 of a gene with thermodynamically stable primer pairs for qPCR and automatically suggests primers
88 with or without degeneracy as specified by the user. In addition, the tool evaluates conserved genomic
89 regions, which can be used for manual selection of forward and reverse positions with desired
90 amplicon length and coverage. For primers in ARG qPCR array 2.0, the following specifications
91 were used with RDP PrimerDesign: sample select command with sliding scale, a theoretical melting
92 temperature of 60 °C, and zero degeneracy. A weighting system was implemented to select primers
93 that cover sequences which improve the diversity of target genes. The PrimerDesign tool was run on
94 the HPCC (High performance computer center) at Michigan State University.

95 *Validation of the updated ARG qPCR array*

96 Specificity, sensitivity, and amplification efficiency of primer sets were tested using a dilution
97 series of gDNA extracted from multiple organisms (28 strains) obtained from ATCC (Table S1). Two
98 SmartChips validation experiments were run, in that the first chip was validated with a mixture of

99 type strains that targeted 17 old and 35 new primer sets, and the second plate had a mixture of strains
100 that targeted 55 old and 68 new primer sets. Validation of the first plate was performed as an initial
101 test primer sets generated using the PrimerDesign tool and contained only a subset of new primer sets.
102 The second plate was a more complete update of the ARG qPCR array, which contained a subset of
103 the new primer sets used on the first chip, and multiple old primer sets (retained from the original
104 array). One hundred ng of gDNA from each bacterial strain was mixed for the first validation chip,
105 which provided a total concentration of 16.6 ng per μl to 1.6×10^{-4} ng per μl , corresponding to 0.166
106 ng to 1.6×10^{-6} ng per reaction well on the SmartChip. Several additional strains were included with
107 the second validation mixture, yielding a total of 14.6 ng per μl , which was diluted down to 1.6×10^{-4}
108 ng per μl . All analysis regarding sensitivity and quantification described herein was based on results
109 of the second and more complete validation of the updated array, while specificity analysis includes
110 both validations.

111 A third validation plate was also performed with 10 environmental samples (Table S2) that had
112 previously been run with the old array. This validation plate was performed to ensure that new primer
113 sets would amplify in environmental samples. This plate was also run to examine rate of amplification
114 of primer sets that were redesigned due to questionable specificity. Genomic DNA was extracted from
115 environmental samples with the PowerSoil® DNA isolation kit (MO BIO, Carsbad, CA, USA), and
116 DNA concentrations were measured using the Qubit Fluorometer (Life Technology, OR, USA).

117 *Data Analysis*

118 To compare coverage of new and replaced assays, an in-silico analysis was performed (Dec
119 2017), to generate the number of non-redundant targeted sequences for each primer set. Requirements

120 for coverage included 100% perfect matches between primer sequences and known sequences in
121 NCBI database and both forward and reverse primers.

122 An in-silico analysis of genomes and plasmids from strains included in the mock community
123 validation mixture was used to verify targeted primer sets. To determine sensitivity of targeted assays,
124 the number of genomic copies per reaction was estimated based on results of in-silico analysis
125 (between strains and primer sets), the mass of gDNA per reaction per known strain, and the genome
126 size of each strain. Only amplification events in two or more of three technical replicates were
127 included in the analysis. A threshold cycle cutoff of 28 was used for analysis of targeted genes in
128 environmental samples. For validation of known strain mixtures, a sliding threshold cycle cutoff was
129 used to examine the rate of true/false positive results. A previously described equation (Looft *et al.*
130 2012) with a Ct cutoff of 28 or 31 was used to estimate genomic copies for comparison with actual
131 genomic copies per reaction.

132 **Results**

133 *Summary of updated or removed primer sets*

134 To assess questionable primer sets, data was summarized from 580 samples that have been run
135 on the old array, which previously had 384 primer sets targeting 264 unique ARGs and MGEs (Fig
136 S1B). Samples used for this evaluation included wastewater, farm animal manures, soil and surface
137 water in US, Eurozone, China, and Antarctica (Table S3). Assays that rarely amplified or amplified
138 often were categorized as questionable primers sets (Fig 1A). For example, 36 primers sets did not
139 amplify in any of the 580 samples and 41 additional primer sets amplified in less than 1% of samples.
140 Primer sets that rarely amplified (less than five out of 580 samples) were separated into two additional

141 categories, those that have less than five target sequences in NCBI and thus may be considered rare,
142 and those that have more than five target sequences and may be false negatives. To help with this
143 differentiation, we also examined the correlation between frequency of amplification and number of
144 targeted sequences available in NCBI (Fig S1A). Primer sets that rarely amplified but had a higher
145 number of target sequences were redesigned (n=19). Primer sets that rarely amplified and had a low
146 number of target sequences were removed (n=14).

147 Conversely, primer sets that amplified in a majority of samples were also deemed as questionable
148 akin to false positive and were redesigned (n=22). Genes that required multiple primer sets for high
149 coverage (i.e. divergent ARG sequences found in multiple species) on the old ARG array were also
150 redesigned using the PrimerDesign tool. For instances in which newly designed primer sets did not
151 improve coverage, analysis was performed to differentiate and retain one older primer set for the
152 given gene.

153 Following redesign and validation (described below), the updated qPCR ARG array contains 209
154 new and 175 old primer sets (retained from the old ARG qPCR array). Both arrays had 384 primer
155 sets; however, the updated array targets 372 unique genes including 315 and 57 primer sets targeting
156 ARGs and MGEs, respectively (Table S4, Fig 1B). For genes that were not previously targeted,
157 emphasis was placed on selection of genes that are mobile as previously described (Pal *et al.* 2014;
158 Hu *et al.* 2016). In total, primer sets for 147 previously untargeted genes were added to the updated
159 array. Compared to the old array (Fig S1B), the number of primer sets targeting trimethoprim
160 resistance (n=17 new), fluoroquinolone resistance (n=10 new), aminoglycoside resistance (n=37
161 new), beta lactamase (n=15 new), and phenicol (n=12 new) increased significantly, among others.

162 While a smaller number of primers were used for some categories of resistance (e.g. beta lactamase,
163 tetracycline), the total number of targeted resistance genes within all categories increased (excluding
164 chromosomal multiple drug resistance genes).

165 One goal of updating the qPCR ARG array was to capture diverse genes with the fewest number
166 of primers sets, with the intent of maintaining coverage in terms of number of target sequences for a
167 given gene. Thus, the number of target sequences was compared for all new and replaced primer sets.
168 Results of gene coverage varied with the primer set; however, the total number of primer sets used for
169 diverse genes was reduced from 91 to 52, and only 10% of total coverage (e.g., number of gene
170 targets) was lost. For example, the PrimerDesign tool captured 155 sequences targeting the *tetPB* gene
171 with a single primer set, whereas four primer sets were used on the old array to target 133 sequences.

172 For 10 genes, the PrimerDesign tool was only able to capture the same level of coverage as
173 previously designed primer sets. In these instances, the older primer set with the highest level of
174 coverage for a particular gene was retained and all additional primer sets for a given gene were
175 removed. For this occurrence, the number of assays was reduced from 21 to 10 primer sets, with only
176 17% loss of coverage. Additional primers sets targeting housekeeping genes and chromosomal
177 multiple drug resistance genes (MDR) that experimentally correspond with other MDR were also
178 removed.

179 *Experimental validation of primer sets with mock communities*

180 The specificity, sensitivity, and quantitative capacity of the updated ARG qPCR array was
181 experimentally validated with two separate mock communities containing mixtures of gDNA from
182 sequenced bacterial strains (Table S1) and 10 environmental samples (Table S2). Validation of new

183 primer sets using the first mock community resulted in 35 true positives, 140 true negatives, one false
184 negative, and one false positive. The second mock community (targeting 123 primer sets) resulted in
185 121 true positive, five false positives, two false negative, and 255 true negative events. Assays that
186 did not behave as expected were flagged and are not suggested for further use on the updated ARG
187 array (Table S4). The high rate of specificity observed with mock community mixtures further
188 demonstrate utility of the new primer sets and the PrimerDesign tool.

189 Sensitivity of both new and old primer sets selected for the updated ARG array were also
190 examined (Fig 2A) and compared with varying threshold cycle (Ct) cutoff values (Fig 2B). In detail,
191 76% of retained primer sets and 71% of new primer sets targeted by the mock community mixture
192 amplified with 1 to 10 copies per reaction. With 10 to 100 copies per reaction, the percent of targeted
193 primer sets that amplified increased to 93% of old and 91% of new primer sets. These numbers are
194 based on a Ct cutoff of 28. Using a higher Ct cutoff of 31, which has also been described (Wang *et al.*
195 2014, 2016), 86% of targeted primer sets amplified with 1 to 10 copies per reaction. In opposition, a
196 higher Ct cutoff also influenced false positive calls (Fig 2B).

197 The estimated number of copies was also influenced by the selected Ct cutoff value (Fig S2A). A
198 linear best-fit line based on estimated (with Ct cutoff of 28) vs actual copies follows a 1:1 trend;
199 however, estimated copies (with Ct cutoff of 31) overestimates the average amount of genes 10 fold.
200 Considering all true positive instances for the tested dilutions and targeted primer sets, true positive
201 amplification with Ct above 28 only occurred 11% of the time. Thus, a Ct cutoff of 28 should be
202 routinely adopted for analysis of the ARG array.

203 The influence of amplicon length on linearity of standard curves, amplification efficiency, and
204 sensitivity was also examined (Fig 3). Primer sets that generated amplicons greater than 150 bp were
205 prone to lower amplification efficiencies (Fig 3B) and sensitivity (Fig 3C) as previously described
206 (Martin *et al.* 2013; Debode *et al.* 2017). For example, 76% of targeted primers sets generating
207 amplicons less than 100 bp amplified with 1 to 10 copies per reaction, while 65% of targeted primers
208 sets generating amplicons greater than 200 bp amplified with 1 to 10 copies per reaction. Certainly,
209 other parameters may also influence amplification efficiency and sensitivity such as GC content of
210 primer and target as previously described (Stedtfeld *et al.* 2008; Bustin and Huggett 2017).

211 *Experimental validation of primer sets with environmental samples*

212 Ten environmental samples (Table S2) were also run on a subset of the updated array including
213 clinical isolates, one dairy cow manure sample, two pig manure, two soil samples and two zoo animal
214 fecal samples (bongo and tree shrew). These samples were also run on the old 384 primer set ARG
215 array, in which the same DNA concentrations was used for comparison. Between zero and 42 genes
216 that were not previously targeted were detected using the updated array with the environmental
217 samples; representing a 0 to 26% increase in detected genes (Fig 4A).

218 A high Pearson correlation ($R^2=0.62$) of estimated copies between old and replaced primers sets
219 was also observed (Fig 4B). This correlation was skewed by lower abundance targets that amplified in
220 one primer set and not the other. Discrepancies between old and new primers are also expected for old
221 primer sets (that were replaced) due to questionable specificity. For example, the old *fox5* gene primer
222 set, which had been observed in 292 of 580 samples, including all Antarctic soil samples (Wang *et al.*
223 2016); amplified in six of the 10 environmental samples. However, no amplification was observed in

224 the same 10 environmental samples using a newly designed *fox5* gene primer set. Conversely, genes
225 that had never amplified in 580 samples such as the *spcN* gene, which confers resistance to
226 aminoglycosides, amplified with a new primer set in one of the pig manure samples.

227 **Discussion**

228 A list of old and new assays with suggested primer sets based on validation, and results of
229 experiments with mock communities is provided (Table S4). Primer sets listed as “old retained,” “new
230 target,” and “new primer replace old primer” are suggested for prospective analysis. The updated
231 ARG qPCR array is merely a suggestion of primer sets for future studies, which is expected to yield
232 greater comparative analysis due to the elimination of primer sets that rarely or often amplify. Thus,
233 comparison with previously tested samples may warrant use of the old array. Notably, the described
234 number of 372 and 265 uniquely targeted genes on the updated and old array does not fully include
235 the total number of targeted genes. For example, 21 fluoroquinolone resistance genes are targeted
236 with nine primer sets, which is reported as nine unique genes. Thus, the total number of uniquely
237 targeted genes is underestimated. Similar instances are also expected with beta lactamase genes (e.g.
238 *blaOXA*, *blaCMY*)

239 *Reducing number of primers required for diverse genes*

240 While multiple primer design tools have been described and reviewed (Thornton and Basu 2015;
241 Kim *et al.* 2016), the RDP PrimerDesign Tool provided a more automated mechanism for capturing
242 the highest possible level of diversity for target genes of interest. In this study, the notebook was used
243 to reduce the number of primers required for high coverage of a divergent gene, providing additional
244 space on the array.

245 A common inquiry with the old ARG qPCR array involves analysis of assays in which multiple
246 primer sets are used to target the same gene (e.g. nine primer sets targeting the *ampC* gene). While
247 these primer sets were initially designed to target different sub-groups of the same gene, manual
248 design of primer sets incurred some overlap, questioning the ability to simply sum results or treat each
249 primer set as an individual group. For example, the nine primers used to target 808 non-redundant
250 *ampC* gene sequences contained 30% overlap between primer sets, thus the summed abundance is not
251 an accurate means of quantification. Redesign of a single primer set targeting the *ampC* gene, only
252 provided 57% coverage obtained with the previously used nine primers, but removes issues related to
253 quantitative analysis of multiple primer sets for the same gene. Thus, the ARG qPCR array was
254 updated to removed occurrence of multiple primer sets for the same gene.

255 *QPCR on various high-throughput platforms*

256 While a majority of studies using the ARG qPCR array have been performed on the Takara
257 SmartChip (previously Wafergen) platform, primer sets are expected to behave similarly with
258 different platforms. It should be noted; however, that the old ARG qPCR assays have been tested on
259 three separate systems (Fluidigm, OpenArray, SmartChip). All three systems are comparable in terms
260 of throughput; however, the SmartChip platform provided greater sensitivity (100 nL reaction well),
261 and flexibility in terms of different assay/sample formats. Amplicons can also be harvested from both
262 the SmartChip and Fluidigm platforms (Johnson *et al.* 2016); however, smaller reaction volume on
263 the Fluidigm system requires pre-amplification, reducing accuracy and sensitivity. The TaqMan
264 OpenArray platform also suffers in terms of flexibility, consumable chip costs, and reduced coverage
265 inherent to use of TaqMan probes instead of SYBR based assays.

266 *High-throughput ARG qPCR array and shotgun metagenomics*

267 While shotgun metagenomics has been widely adopted to profile known functional and
268 taxonomic genes in a given sample (Yergeau *et al.* 2010; Myrold, Zeglin and Jansson 2014), the
269 qPCR array may potentially be better suited for hypothesis driven studies of targeted genes with
270 benefits of cost, sensitivity, and quantification. Unlike qPCR, shotgun metagenomics will also provide
271 unlimited coverage of known genes, with exploration of novel genes dependent on means of analysis.
272 QPCR primer sets designed from conserved regions of known genes may also allow for detection of
273 unknown sequences. For instance, an *in silico* analysis of primer sets for all sequences available in
274 public databases in 2015 and 2017 reveals coverage of multiple sequences that were previously
275 uncharacterized (Fig S2B).

276 *Further considerations*

277 The updated array is expected to provide greater utility and specificity, with over 98% of
278 validated instances incurring true positive/negative calls. Notably, not all primer sets were validated
279 and absolute quantification is limited without standard curves. Targets from different genera may also
280 influence specificity and sensitivity, limiting utility of standard curves. Thus, sample sets that include
281 controls are recommended, allowing for quantitative comparison of genes via $2^{-\Delta\Delta Ct}$ method (Livak
282 and Schmittgen 2001; Zhu *et al.* 2013).

283 Some primers may also target genes that are not easily distinguished from common class relatives
284 present in most organisms, such as the *vanR* and *vanS* gene, a phosphorylase and two component
285 regulator, and *bacA* gene (Wright 2017). The previous *bacA* and *vanSB* gene primer sets (primer
286 numbers 310 and 158 respectively) amplified in 36 and 57% of the 580 previously tested samples,

287 indicating that they may target functions unrelated to antibiotic resistance. Again, control samples
288 should aid in differentiation of anthropogenic selective pressures for these genes.

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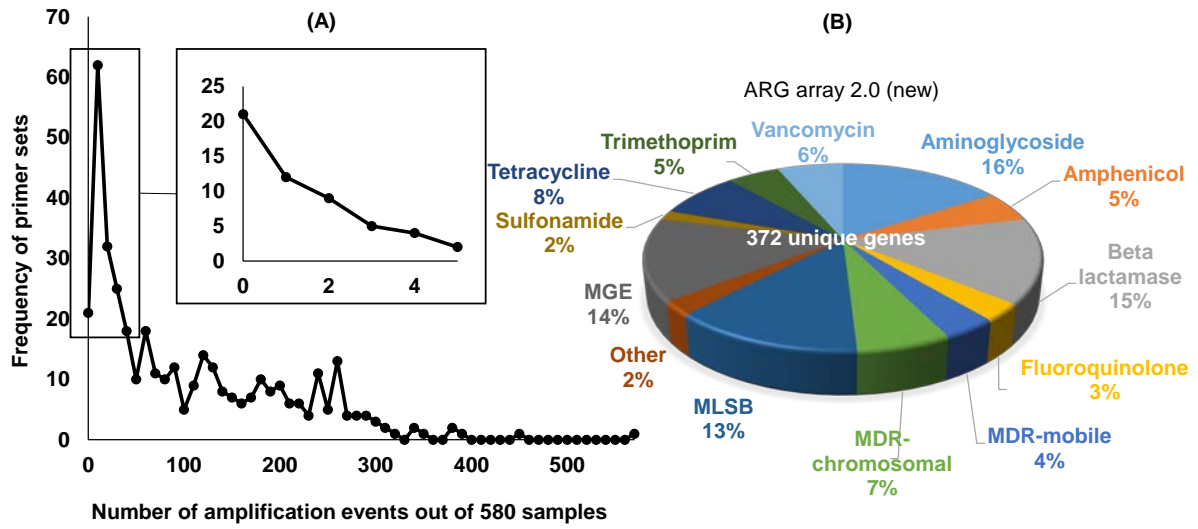
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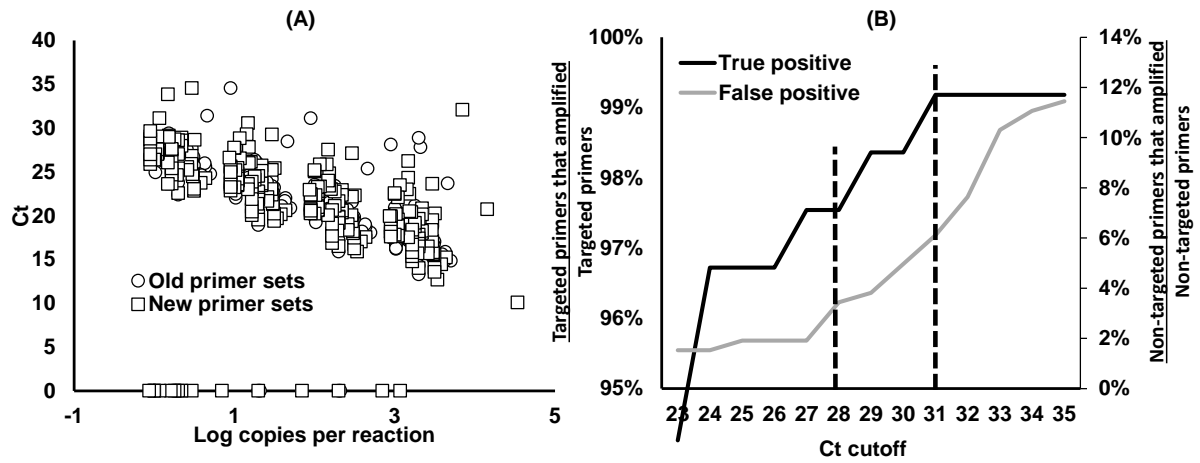
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379

380 **Fig 1.** Frequency of primer sets that amplified in 580 samples tested on the old array (e.g. ~10 primer
 381 sets amplified in 1 out of 580 samples), and (B) summarized distribution of target gene categories on
 382 the updated ARG qPCR array.



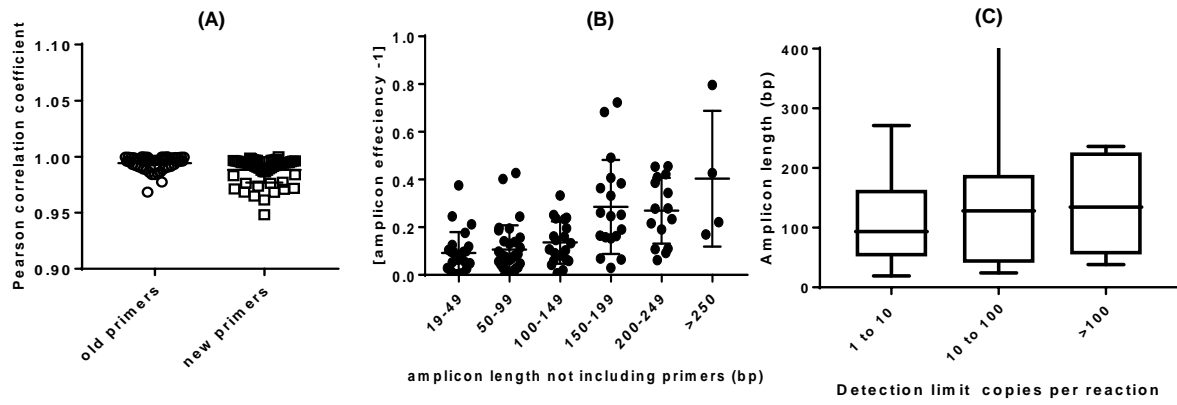
383

384 **Fig 2.** Specificity and sensitivity of primer sets targeted within a mock community of strains. (A)

385 Sensitivity of retained old and new primer sets on the updated ARG array, and (B) percent of targeted

386 (black line, primary y-axis) and non-targeted (gray line, secondary y-axis) primer sets that are deemed

387 positive amplification events based on the threshold cycle (Ct) cutoff.



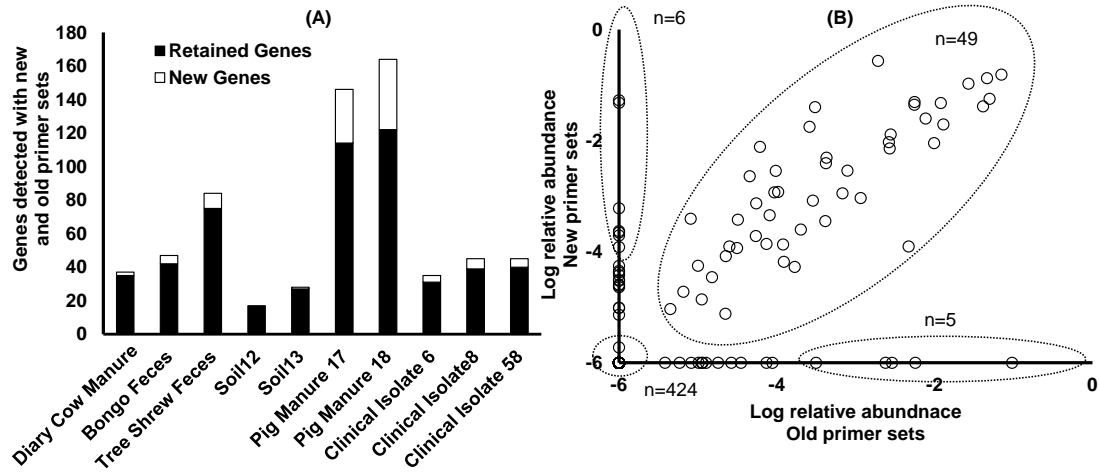
388

389 **Fig 3.** Influence of generated amplicon length on quantification and sensitivity of primer set. (A)

390 Pearson correlation coefficient based on standard curves generated with targeted primer sets and

391 dilutions of strains in a mock community sample. (B) Influence of amplicon length on amplification

392 efficiency, and (C) sensitivity.



393

394 **Fig 4.** Testing 10 environmental samples on new and old primer sets used on the ARG 2.0 array. (A)

395 Number of amplified genes with retained (old primer sets used on the new array) and new primer sets

396 targeting genes that were not previously on the old array. (B) Comparing relative abundance of new

397 primer sets (used to replace questionable primer sets) and the replaced primer sets (not used on the

398 new array) within the 10 environmental samples.